Medical Device Postapproval Safety Monitoring
Where Does the United States Stand?

Prashant V. Rajan, BS, BA; Daniel B. Kramer, MD; Aaron S. Kesselheim, MD, JD, MPH

A recent Food and Drug Administration (FDA) proposal aims to speed the evaluation process for new high-risk medical devices that are intended to address unmet medical needs, much like existing expedited approval processes, such as the humanitarian device exemption rule for devices intended to treat rare diseases. Such programs are strongly supported by the medical device industry and some patient advocacy groups, which have criticized the FDA for being too stringent in its evidentiary requirements for investigational devices, leading to delays in the approval of potentially helpful products. For example, in 2011, the FDA approved a transcatheter aortic valve replacement system that demonstrated significant improvements over conventional treatment options for selected patients with severe aortic stenosis. However, the United States was the 43rd country to approve the device, roughly 4 years after the European Union.

Yet expedited approval for high-risk medical devices raises the possibility that these devices will not be as effective as predicted in their limited premarket testing or that they could cause unanticipated harms after approval. Of course, well-studied devices may present unexpected safety concerns years after approval, and even the most rigorous conventional premarket approval process will result in some devices later found to be unsafe or ineffective. Safety of approved medical devices and the proper scope of premarket testing remain contentious issues after recalls of several widely used devices, including popular models of implantable cardioverter defibrillator leads and metal-on-metal hip implants.

Inherent limitations in premarket testing, along with the prospect of lowered evidentiary standards for expedited device reviews, place greater pressures on postapproval monitoring of devices to follow clinical performance and to identify emerging public health problems. Medical device manufacturers routinely perform this sort of vigilance, and the FDA has many tools at its disposal to ensure proper postmarket evaluation of approved devices, with several initiatives now under development to expand its postmarket surveillance network. We sought to analyze current FDA postmarket programs, including their existing structure and prospects for effective medical device surveillance in the United States, particularly as they relate to cardiovascular medicine.

Postapproval Surveillance Strategies
Postapproval device surveillance is a systematic way of gathering and analyzing data to direct consequent policies that minimize device-related morbidity and mortality. These activities fall into 3 main categories. One is passive surveillance, in which outside parties report data to the FDA, which then collates the information and determines whether an intervention is necessary. By contrast, there are 2 kinds of more active postapproval surveillance: conduct of prospective organized clinical studies and organization of active medical product registries capable of generating device safety and performance data. In this section, we discuss the FDA’s initiatives relating to each of these categories.

Postapproval Passive Surveillance
Passive surveillance systems at the FDA are centered on Medical Device Reporting (MDR), through which manufacturers, physicians, and consumers submit reports of device-associated malfunctions, injuries, or deaths. Although voluntary submissions are an aspect of MDR, manufacturers must file reports with the FDA within 30 days if evidence suggests the device contributed to or caused a malfunction, injury, or death, and within 5 days if more than routine maintenance of the device is needed. Hospitals must submit their reports to manufacturers or the FDA within 10 days of an event. Failure to comply could lead to civil penalties and freezing device use. Patients and healthcare providers are not legally required to submit reports but can do so through the MedWatch program. All submitted reports are archived within a single publicly available database called the manufacturer and user facility device experience (MAUDE) database. Each year, the FDA receives approximately 100,000 reports.

A secondary source of passive surveillance is the FDA’s Medical Product Safety Network (MedSun). Started in 2003, MedSun consists of approximately 280 hospitals and other facilities that report on medical device use and adverse events. Theoretically, MedSun is different from MDR reporting in that these particular facilities are specifically trained in collecting and submitting adverse event reports to the FDA. As such, the quality and relative quantity of reports received from MedSun institutions are typically greater.

From the Harvard Medical School, Boston, MA (P.V.R., D.B.K., A.S.K.); Program On Regulation, Therapeutics, And Law, Division of Pharmacoepidemiology and Pharmacoeconomics, Department of Medicine, Brigham and Women’s Hospital, Boston, MA (P.V.R., D.B.K., A.S.K.); and the Cardiovascular Institute, Beth Israel Deaconess Medical Center, Boston, MA (D.B.K.).

Correspondence to Aaron S. Kesselheim, MD, JD, MPH, Program On Regulation, Therapeutics, And Law (PORTAL), Division of Pharmacoepidemiology and Pharmacoeconomics, Department of Medicine, Brigham and Women’s Hospital, 1620 Tremont St Suite 3030 Boston, MA 02120. E-mail akesselheim@partners.org

(Circ Cardiovasc Qual Outcomes. 2015;8:124-131. DOI: 10.1161/CIRCOUTCOMES.114.001460.)

© 2015 American Heart Association, Inc.

Circ Cardiovasc Qual Outcomes is available at http://circoutcomes.ahajournals.org

DOI: 10.1161/CIRCOUTCOMES.114.001460
FDA receives around 5000 annual MedSun reports, and each facility has collective access to all reports submitted through MedSun. In return, the FDA can work with each clinical site to understand and resolve problems associated with medical devices.22

Passive surveillance has helped answer questions about the performance of certain devices. In 2012, Hauser et al23 used MAUDE to assess the presence and modes of deaths related to malfunctioning and failures of Riata and Riata ST leads manufactured by St. Jude Medical. In May 2014, Woerdenman and Cochrane24 used MAUDE to identify failures of hydrocephalus shunt valves. The authors noticed that these valve failures were because of rough handling of delicate shunt valves, and they substantiated their claims with similar reports within MAUDE.

Despite positive outcomes from the passive surveillance system, many of the reports have flaws. Of the 141 065 MDR reports submitted in 2007, roughly half were missing information about device identification and about 11% were lacking critical information on the adverse event itself.19,20 For example, one death associated with use of a vagus nerve stimulator was submitted to MAUDE with the death attributed to sudden unexpected death from epilepsy as confirmed by only a visual autopsy, which can, in reality, only be established with a full autopsy.25 In addition, many of the required reports are submitted late, with 31% of the 5-day manufacturer reports and 39% of 10-day user facility reports submitted late in 2007, despite the possibility of penalties for such violations.19,20 MAUDE can also be subject to double counting from duplicate reports.

Insufficient information on reports can hamper proper assessment of device safety, and even detailed reports leave uncertainty on what is truly device malfunction versus procedural errors or complications unrelated to the device. For example, the MAUDE database currently has 11 reports for an electrophysiological mapping catheter that was recalled in early 2014. The described events—atrial dissection, cardiac tamponade, and entrapment within the mitral valve—are difficult to ascribe specifically to the catheter rather than to technical or patient-related factors. A separate report claimed that during an atrial fibrillation procedure, the catheter’s electrode shaft came apart with the wires exposed while inside the patient’s body.21 This seems more clearly related to device malfunction but remains difficult to evaluate absent further details.

Indeed, there may also be bias in the distribution of adverse event reports. More than 90% of reports are from manufacturers presumably acting in accordance with reporting requirements. The remaining reports are voluntarily submitted by users and caregivers,19,26,27 suggesting under-reporting of adverse events by these parties.19,26 Under-reporting by users and caregivers occurs because of a lack of integration of device reporting into clinical operations, inabilities to connect outcomes with device use, failures in understanding a responsibility to report device-related events, and fear of personal litigation.27,28 Many times, malfunctioning products are not returned to the manufacturer, impeding study of potential safety issues. Yet under-reporting by manufacturers is also a problem. Because of the absence of a standardized reporting threshold, manufacturers have discretion in determining whether an adverse event is related to a device,26,29; so manufacturers are disincentivized to report adverse events, and some device-related problems may be inappropriately ascribed to user errors.

Overall, the passive surveillance system rarely leads to the identification of device safety problems. One fundamental drawback of passive surveillance is the lack of a denominator.17,25 With limited ability to track the number of devices actually used and their long-term outcomes, FDA analysts are limited in their ability to detect safety signals from noise.25 The FDA is routinely delayed in reading adverse event reports and rarely penalizes for late MDR submissions.19 When a safety signal is detected, the FDA has been criticized for not addressing the problem in a prompt and consistent manner.25 In addition, the MAUDE database cannot be linked to subsequent actions taken by FDA, such as onsite inspections and warning letters.19

Postapproval Clinical Studies

A postapproval clinical study is designed to provide information on a specific aspect of a device under controlled settings. The FDA can mandate conduct of postapproval studies of medical devices under 2 main provisions: postapproval studies (PAS) or 522 studies. PAS can be made a condition of medical device approval and can be issued alongside a premarket approval or humanitarian device exemption process. For example, since 2008, each new implantable cardioverter defibrillator lead has been required to undergo a PAS that collects data on device performance in ≥1000 patients for 5 years post implantation.20 By contrast, a 522 study can be mandated at any time in a device’s market existence and can be applied to high-risk medical devices approved through conventional premarket approval processes or moderate-risk devices cleared through expedited 510(k) processes.30 Failure to fulfill the requirements of a PAS or 522 can be grounds for withdrawal of a device’s authorization, but the FDA has yet to exercise this power.31

According to public reports on the FDA website, for the 291 PAS studies mandated from 2005 to 2012, 207 (71%) have a status of complete or adequate progress. Completed the 291 PAS studies are critical for evaluating long-term device performance.32 In March 2014, Vemuri et al33 conducted a retrospective outcomes study of 57 patients with risky cases of juxtarenal aortic aneurysms that were treated using a specific endovascular graft. The study demonstrated how these cases, which were usually untreatable via other available options, were successfully treated with the study graft without increased risk of complications. Another group pooled several PAS studies to study the safety of an openly irrigated ablation catheter. They discovered that a significant proportion of procedural complications was because of a user error, which was reduced when an operator oversight program was initiated.34 A total of 62 (21%) PAS studies showed inadequate progress or have been terminated. For example, the FDA ordered a PAS of Integra LifeScience’s Duraseal Exact Spine Sealant in September 2009 to study the incidence of postoperative cerebrospinal fluid leaks. But as of February 2014, the study has not enrolled a sufficient number of patients. If a study is terminated, the manufacturer must communicate early with the FDA to establish a new PAS protocol to meet the postapproval...
study condition. In the past, inadequate completion was attributed to a lack of a standardized PAS tracking in the FDA—which the FDA has since tried to fix—and to a high turnover rate among PAS reviewers. These results are presented in Figure 1.

Completion rates of 522 studies are similar. Twenty of 336 (6%) 522 studies initiated from 2005 to 2012 were complete or demonstrated adequate progress by June 2014, whereas another 218 (65%) have been consolidated, meaning that the 522 order was later combined with others into a single study (Figure 2). These results are displayed in Figure 2. For example, in May 2011, different 522 orders for detection of metal ion levels of various components of Biomet’s metal-on-metal acetabular system were consolidated into a single study. Around one-fourth of the studies during this timeline were terminated (78, 23%). For example, HerniaMesh’s Bioabsorbable Polymer Sling and Surgical Mesh was subject to a 522 study order in April 2012 to assess its effectiveness in surgical repair of female stress urinary incontinence. However, the study was not completed and has been terminated. The remaining 114 (34%) were labeled with an interim other status. When completed, PAS and 522 studies can provide important information about device performance, but it is unclear whether studies themselves tend to not get done properly or whether the knowledge of their progress is just inexact. Moreover, the exact nature of FDA action after completion of the PAS and 522 studies is also not routinely disseminated. Gartenberg et al demonstrated how the FDA did not remove the Wingspan intracranial stent system from market in 2012 despite postapproval data suggesting significantly high stroke and mortality risks. Instead, the FDA reformed the indications for the device and ordered a 522 study for the device, which is still pending. The most common result from a PAS completed study is a labeling change of the studied device, which has been ordered for 31 studies to date.

Postapproval Registry Surveillance
A device registry is a structured database that actively collects and stores data on individual exposures to specific devices to monitor general device safety prospectively. Currently, the FDA registry surveillance program is small. In 2007, the FDA was authorized to create an active surveillance network to monitor pharmaceuticals and medical products, which led to the creation of the Sentinel Initiative. Sentinel is modeled to be a distributed data system in which deidentified administrative or claims data are institutionally maintained and sourced by a central hub to monitor the safety of regulated products. Although currently still a pharmaceutical data system, Sentinel is beginning to be expanded to include medical devices.

In 2012, the FDA also formalized its plan to pursue a nationwide system that conducts active postmarket surveillance of medical devices. The FDA envisions a distributed data system, much like Sentinel, in which registries are maintained by independent entities that can then be accessed centrally. Currently, many organizations maintain registries, such as the American College of Cardiology’s National Cardiovascular Data Registry (Table 1). Registries may also be based on community, hospital, or manufacturer settings (Table 2).
FDA is holding workshops and developing partnerships with professional medical societies to facilitate additional registry initiatives.\(^{17,28}\) Among the benefits of a registry-based surveillance system is the opportunity to generate data sets with large sample sizes that also include more diverse sets of patients, which allow for analyses with high generalizability.\(^{39}\) These large data sets can provide more useful safety information that is often lacking in controlled, short-term prospective trials, and studies have subsequently used these data sets to answer important clinical questions.\(^{40,41}\) In addition, registries with linked clinical data (e.g., National Cardiovascular Data Registry registries) can also provide information on potential misuse of a device; for example, many studies have found that too many transcatheter aortic valve implantations are being conducted in a transapical fashion than would be expected for a typical patient population.\(^{27}\) Similar studies have suggested lack of adherence to guideline-based indications for intracardiac cardioverter defibrillators and cardiac resynchronization therapy.\(^{42,43}\)

If registries are not closely linked to clinical records, the registries may lack critical event-specific data, such as disease severity or comorbidities, that could identify variations among treatments, populations, and device subtypes. A key challenge is that registries may not include follow-up information that could provide more longitudinal analysis. From a study design perspective, this information would be useful for control measures that could minimize the effects of confounding variables within these large data sets.\(^{44,46}\) Until registries are consistently linked to comprehensive clinical information, their data will be susceptible to confounding, which can impair assessment of clinical effectiveness of medical devices. In addition, measures will have to be implemented that ensure data quality, such as basic structural requirements of all registries and audits by overseeing organizations. In their current formats, registries alone are not sufficient to monitor the effectiveness of medical devices and are better suited to assess long-term device safety.

The next step in a registry system is participation. The FDA has not stated how it will encourage organizations to build and maintain local registries. The establishment of a registry can present substantial costs to the managing institution, and mandatory participants may not be prompted to provide complete or unbiased information.\(^{47}\) Within the field of cardiology, smaller healthcare centers may be disincentivized from participating in registries because the data could be used to conclude that only larger institutions be allowed to provide high-risk procedures. Further consideration should include the type of participants because manufacturer-owned registries may lead to biased or untrustworthy data.\(^{47}\) Because registries are maintained locally, it is also up to individual organizations to establish systems that comprehensively collect device information. The FDA will not regulate registry structures,\(^ {17}\) so managing organizations must establish internal structures that facilitate reporting to a registry by healthcare providers. Because the FDA cannot mandate registry participation by institutions, the extent of voluntary institutional participation remains to be seen.
Prospects for Device Postmarket Surveillance
The FDA is aware of the shortcomings of device postmarket surveillance systems and is developing many prospective

Table 1. Selection of National Cardiovascular Registries Used in Published Medical Device Studies in 2013 to 2014

<table>
<thead>
<tr>
<th>Registry</th>
<th>Professional Organization</th>
<th>Year</th>
<th>Focus</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Cardiovascular Data Registry</td>
<td>ACC</td>
<td>1997</td>
<td>...</td>
</tr>
<tr>
<td>ACTION Registry—GWTG</td>
<td>ACC/AHA</td>
<td>2007</td>
<td>Acute coronary syndromes</td>
</tr>
<tr>
<td>CARE Registry</td>
<td>ACC</td>
<td>2006</td>
<td>Carotid revascularization, endarterectomy</td>
</tr>
<tr>
<td>CathPCI Registry</td>
<td>ACC/SCAI</td>
<td>1998</td>
<td>Cardiac catheterization, percutaneous coronary intervention</td>
</tr>
<tr>
<td>ICD Registry</td>
<td>ACC/HRS</td>
<td>2005</td>
<td>Implantable cardioverter defibrillators and leads pediatric and adult congenital procedures</td>
</tr>
<tr>
<td>IMPACT Registry</td>
<td>ACC/SCAI</td>
<td>2007</td>
<td>Outpatient cardiovascular care procedures</td>
</tr>
<tr>
<td>PINNACLE Registry</td>
<td>ACC</td>
<td>2008</td>
<td>Transcatheter valve procedures</td>
</tr>
<tr>
<td>TVT Registry</td>
<td>STS/ACC</td>
<td>2012</td>
<td>...</td>
</tr>
<tr>
<td>STS National Database</td>
<td>STS</td>
<td>1989</td>
<td>Quality improvement and patient safety</td>
</tr>
<tr>
<td>Adult Cardiac Surgery Database</td>
<td>STS</td>
<td>1989</td>
<td>Quality improvement and patient safety</td>
</tr>
<tr>
<td>General Thoracic Surgery Database</td>
<td>STS</td>
<td>1989</td>
<td>Quality improvement and patient safety</td>
</tr>
<tr>
<td>Congenital Heart Surgery Database</td>
<td>STS</td>
<td>1989</td>
<td>Quality improvement and patient safety</td>
</tr>
<tr>
<td>Vascular Registry</td>
<td>SVS</td>
<td>N/A</td>
<td>Carotid stents and embolic protection devices</td>
</tr>
<tr>
<td>National Surgical Quality Improvement Program</td>
<td>ACS</td>
<td>1994</td>
<td>Surgical quality improvement, patient outcomes</td>
</tr>
<tr>
<td>Translational Research Investigating Underlying Disparities in Acute Myocardial Infarction Patients’ Health Status (TRIUMPH)</td>
<td>NHLBI</td>
<td>2005</td>
<td>Healthcare disparities in acute myocardial infarction risk</td>
</tr>
</tbody>
</table>

Registries listed here were identified via a MEDLINE search supplemented with analysis of Food and Drug Administration and individual registry Web sites. Note that this is not an exhaustive list of registries and represents a sample of current national registries for cardiovascular devices. ACC indicates American College of Cardiology; ACS, American College of Surgeons; ACTION, Acute Coronary Treatment and Intervention Outcomes Network; AHA, American Heart Association; CARE, Carotid Artery Revascularization and Endarterectomy; CathPCI, Catheterized Percutaneous Coronary Intervention; GWTG, Get With The Guidelines; HRS, Hearth Rhythm Society; ICD, implantable cardioverter defibrillator; IMPACT, Improving Pediatric and Adult Congenital Treatment; NHLBI, National Heart, Lung, and Blood Institute; PINNACLE, Practice Innovation And Clinical Excellence; SCAI, Society for Cardiovascular Angiography and Interventions; STS, Society of Thoracic Surgeons; SVS, Society for Vascular Surgery; TRIUMPH, Translational Research Investigating Underlying Disparities in Acute Myocardial Infarction Patients’ Health Status; and TVT, Transcatheter Valve Therapy.

reforms and important innovations to enhance device postmarket surveillance. To improve passive adverse event reporting, the FDA plans to work with the November Research Group to replace the MAUDE database with a reporting system similar to the FDA Adverse Event Reporting System that has been used for pharmaceutical products and that will be able to handle larger report volumes, incorporate safety analytics, and potentially employ mobile device or other advanced modalities for reporting. In addition, the FDA is working to implement software tools that can automatically report adverse events in real time. The FDA created a pilot called the Adverse Drug Events Spontaneous Triggered Event Reporting study, in which adverse event reports are automatically transmitted when they appear in linked medical records. The FDA is also interested in modernizing data analysis techniques to identify safety signals rapidly. For example, the Data Extraction and Longitudinal Time Analysis is a proprietary system that evaluates device performance in real time to detect device safety signals rapidly. Data Extraction and Longitudinal Time Analysis has already been effective in identifying safety issues in cardiovascular devices. Adverse Drug Events Spontaneous Triggered Event Reporting and Data Extraction and Longitudinal Time Analysis are still years away from broad implementation, but their development suggests a promising future for obtaining improved information from passive postmarket surveillance.

In 2010, the FDA initiated MDEpiNet, a public–private partnership designed to study and develop innovative strategies to improve regulation of medical devices. MDEpiNet is researching a variety of active surveillance initiatives to collect device data, assess risks, detect safety signals, and act on potential safety issues. One of these initiatives is a nationwide unique device identifier (UDI) system. In September 2013, the FDA issued a final rule requiring medical devices to carry a UDI that places individual labels on devices and

Table 2. Selection of Hospital-, Community-, and Manufacturer-Based Registries Used in Published Cardiovascular Device Studies From 2013 to 2014

<table>
<thead>
<tr>
<th>Registry</th>
<th>Professional Organization</th>
<th>Focus</th>
</tr>
</thead>
<tbody>
<tr>
<td>INTERMACS</td>
<td>University of Alabama-Birmingham</td>
<td>Durable mechanical circulatory support device therapy to treat advanced heart failure</td>
</tr>
<tr>
<td>VSGNE database</td>
<td>VSGNE</td>
<td>Vascular surgery operative and perioperative data</td>
</tr>
<tr>
<td>C3PO Registry</td>
<td>Children’s Hospital of Boston</td>
<td>Outcomes from pediatric catheterization</td>
</tr>
<tr>
<td>Percutaneous Intervention Registry</td>
<td>Cleveland Clinic</td>
<td>Percutaneous heart valve replacements</td>
</tr>
<tr>
<td>ClearWay Multicenter Registry</td>
<td>Atrium</td>
<td>ClearWay perfusion catheter</td>
</tr>
</tbody>
</table>

Registries listed here were identified via a MEDLINE search supplemented with analysis of Food and Drug Administration and individual registry Web sites. Note that this is not an exhaustive list of registries and represents a sample of current cardiovascular registries maintained by individual hospitals, communities, and manufacturers. C3PO indicates Congenital Cardiac Catheterization Registry; INTERMACS, The Intergroup Registry for Mechanically Assisted Circulatory Support; and VSGNE, Vascular Study Group of New England.
device packages to be able to track clinical use and distribution better.\textsuperscript{51} When integrated with registries, the UDI system will provide a standardized language for device identification by registries. All high-risk devices must bear a UDI by September 2014, moderate-risk devices by September 2016, and low-risk devices by September 2018.\textsuperscript{51} Despite these compliance deadlines, concrete legislation mandating UDI incorporation is still forthcoming. Accredited issuing agencies will assign device UDIs and will store them in a new database called the Global Unique Device Identification Database. The Global Unique Device Identification Database will allow linkage of the UDI to premarket approval reports or trade and manufacturer information.

Registry building is another area of considerable activity. The FDA envisions the use of voluntary registries as an integral component of a national device surveillance network. Such a registry-based surveillance network could effectively track use of all devices to ascertain exposure and outcome status, better elucidate device-related events, and more efficiently identify safety signals. Yet there is still considerable work to be done, and exactly how this network of voluntary registries will be realized is still uncertain. The FDA will first have to identify the number and type of registries that it hopes to include in this nationwide network, because some databases cover a specific medical device (eg, ClearWay perfusion catheters in a manufacturer-operated registry), whereas others cover a whole class of devices (eg, all coronary interventional catheters in the National Cardiovascular Data Registry’s CathPCI registry). Through MDEpiNet, the FDA has developed the National Medical Device Registries Taskforce, which is holding workshops with key stakeholders to develop new registries.\textsuperscript{18}

The FDA will next have to address how it will encourage manufacturers and organizations to build and maintain local registries identified by the Taskforce that can collate device data in an accurate and timely fashion. One suggestion has been to base institutional accreditation of hospitals on participation in national registries, which can also incentivize hospitals to create internal registry reporting requirements for employed healthcare providers. The Centers for Medicare and Medicaid Services has recently linked its reimbursement of transcatheter aortic valve replacements with hospital participation in a prospective, national registry through the Coverage with Evidence Development provision. Linking registry reporting to reimbursement through the Coverage with Evidence Development provision can promote hospital participation in local registries, but these processes still have problems with poor data collection and high implementation costs.\textsuperscript{52} Placing participation incentives on hospitals may also lead to extra workload for individual physicians and clinical administrators.\textsuperscript{47} Regardless, the partnership between the FDA and the Centers for Medicare and Medicaid Services may provide the necessary infrastructure to encourage and support hospital and institutional registry initiatives.

Of course, even if registries can be optimally designed, they cannot replace controlled, prospective postmarket studies like the ones required under PAS or 522 orders.\textsuperscript{4} Device registries are powered by their large sample sizes to answer several questions in a real-world application. But registry data are still limited primarily by selection bias and secondarily by confounding variables—such as disease severity, comorbidities, and medication use—that can make it difficult to assess the effectiveness of a device in an observational study. Postmarket studies mandated by PAS or 522 are useful for controlling against these confounders and for assessing a device’s true effectiveness in practice. Studies mandated by 522 and PAS need to be expanded and incorporated with registries to allow the FDA to best assess effectiveness and safety of approved medical devices.

A distributed data system will become increasingly important from a global perspective. Construction of registry surveillance systems will be able to access postapproval data from other countries. European manufacturers have been required to monitor their approved devices under the purview of regional authorities called Notified Bodies.\textsuperscript{4} Adverse event data are then collated in a centralized repository called The European Databank on Medical Devices.\textsuperscript{27} Although access to European and other datasets can certainly be helpful, the FDA should remain cautious about prematurely sourcing global registries. European registries still have differing evidence standards among Notified Bodies,\textsuperscript{27} lack of oversight from local registry authorities,\textsuperscript{4} and absence of registry interoperability.\textsuperscript{47} It is still difficult to access European data because of a lack of transparency in premarket device certification and postapproval data sources, such as the European Databank on Medical Devices.\textsuperscript{53}

Researchers in Europe are outlining ways to improve regulations for medical devices. Like the FDA, many European policy advisers are seeking expanded use of registries in collecting device data.\textsuperscript{5,4} Others have demanded increased transparency of premarket device certifications and of the European Databank on Medical Devices to identify the deficiencies of European device regulation.\textsuperscript{51} One European analysis called for an FDA-like central body to regulate and oversee the distributed regulatory system of Europe.\textsuperscript{3} Current proposals being considered by the European Parliament include the establishment of a centralized body to oversee authorization and regulation of medical devices.\textsuperscript{27} The FDA should actively work with foreign authorities to stabilize and standardize registries for the use in a future globalized distributed data system.

Conclusions

All postmarket surveillance strategies have unique abilities to monitor different aspects of device safety and effectiveness. The FDA is attempting to address current limitations in device surveillance through reform efforts to modernize passive reporting and to construct an active registry-based surveillance network.\textsuperscript{15,18} The FDA could benefit from taking a centralized leadership role in a distributed data surveillance system in ensuring the standardization, interoperability, and efficiency of different device surveillance strategies, both domestically and globally. However, even optimally designed registries will need to be supplemented by clinical effectiveness data generated from postmarket clinical studies, as well as routine passive surveillance signal detection. Therefore, registries will need to be implemented in a cooperative manner with active clinical study mandates and updated passive surveillance tools to best provide oversight of medical device
safety and effectiveness. Policymakers should consider the current status of the postapproval device safety monitoring system when designing programs that accelerate premarket evaluation and review of investigational devices. Although great strides have been made, and additional innovations are planned, numerous issues need to be addressed before the postapproval device surveillance system in the United States will be operating at optimal proficiency.

Disclosures

Dr Kramer was supported, in part, by a Paul B. Beeson Career Development Award in Aging Research (K23AG045963), serves as a consultant to the FDA’s Circulatory Systems Advisory Panel, and was a member of the American College of Cardiology National Cardiovascular Data Registry Device Surveillance Strategy Work Group. Dr Kesselheim reports grant support from the Greenwall Foundation and an Ignition Award from the Harvard Program in Therapeutic Science. P.V. Rajan reports no conflicts.

References


4. Cohen D, Billingsley M. Europeans are left to their own devices. BMJ. 2011;342:d2748.


25. Lenzer J, Brownlee S. Why the FDA can’t protect the public. BMJ. 2010;341:c4753.


35. U.S. Food and Drug Administration. Guidance for Industry and FDA Staff: Procedures for Handling Post Approval Studies Imposed by PMA Order [Internet]. Silver Spring, MD: U.S. Food and Drug
Rajan et al  
Medical Device Postapproval Safety Monitoring  

131


54. Campbell B, Stainthorpe AC, Longson CM. How can we get high quality routine data to monitor the safety of devices and procedures? BMJ. 2013;346:f2782.

KEY WORDS: equipment and supplies ■ product surveillance, postmarketing ■ registries ■ United States Food and Drug Administration
Medical Device Postapproval Safety Monitoring: Where Does the United States Stand?
Prashant V. Rajan, Daniel B. Kramer and Aaron S. Kesselheim

_Circ Cardiovasc Qual Outcomes_. 2015;8:124-131; originally published online January 6, 2015; doi: 10.1161/CIRCOUTCOMES.114.001460

_Circulation: Cardiovascular Quality and Outcomes_ is published by the American Heart Association, 7272 Greenville Avenue, Dallas, TX 75231
Copyright © 2015 American Heart Association, Inc. All rights reserved.
Print ISSN: 1941-7705. Online ISSN: 1941-7713

The online version of this article, along with updated information and services, is located on the World Wide Web at:
http://circoutcomes.ahajournals.org/content/8/1/124

Permissions: Requests for permissions to reproduce figures, tables, or portions of articles originally published in _Circulation: Cardiovascular Quality and Outcomes_ can be obtained via RightsLink, a service of the Copyright Clearance Center, not the Editorial Office. Once the online version of the published article for which permission is being requested is located, click Request Permissions in the middle column of the Web page under Services. Further information about this process is available in the Permissions and Rights Question and Answer document.

Reprints: Information about reprints can be found online at:
http://www.lww.com/reprints

Subscriptions: Information about subscribing to _Circulation: Cardiovascular Quality and Outcomes_ is online at:
http://circoutcomes.ahajournals.org//subscriptions/