

Frailty Trajectories After Treatment for Coronary Artery Disease in Older Patients

Elizabeth A. Freiheit, PhD; David B. Hogan, MD; Scott B. Patten, MD, PhD;
Hannah Wunsch, MD; Todd Anderson, MD; William A. Ghali, MD; Merril Knudtson, MD;
Colleen J. Maxwell, PhD

Background—Frailty is an independent risk factor for cardiovascular outcomes. However, its trajectory after coronary artery disease treatment is unknown.

Methods and Results—Three hundred seventy-four patients undergoing nonemergent cardiac catheterization followed by treatment (ie, 128 coronary artery bypass graft [CABG], 150 percutaneous coronary intervention [PCI], 96 medical therapy only) were observed for 30 months. A frailty index (FI) score was calculated at baseline (before initial treatment) and 6, 12, and 30 months after treatment. Random-effects models compared FI score trajectories by sex, age, and treatment group. Mean baseline FI scores were 0.170, 0.154, and 0.154 for CABG, PCI, and medical therapy only, respectively. FI scores decreased (improved) 6 months after initial treatment, then increased (worsened) at 12 and 30 months ($P < 0.001$ for differences over time). Women had nonsignificantly higher FI scores than men ($P = 0.097$) but followed the same trajectory ($P = 0.352$ for differences over time). In patients aged ≥ 75 years, FI scores increased postbaseline for CABG and medical therapy only and after 6 months for PCI patients. Patients < 75 years assigned to PCI and CABG experienced a sustained frailty reduction, whereas those assigned to medical therapy only showed stable frailty over the 30-month follow-up period (P value for differences over time by age and treatment group = 0.041).

Conclusions—With coronary artery disease treatment, frailty generally follows a U-shaped trajectory, but the pattern may differ by age and treatment. Further investigation is needed to confirm these observations and determine whether patients might benefit from consideration of frailty status. (*Circ Cardiovasc Qual Outcomes*. 2016;9:230-238. DOI: 10.1161/CIRCOUTCOMES.115.002204.)

Key Words: aging ■ coronary artery disease ■ follow-up studies ■ frailty

Significant improvements in survival rates among patients with coronary artery disease (CAD), including those aged ≥ 75 years, have led to a greater focus on functional and quality of life outcomes.¹ In this area, the concept of frailty has attracted increased attention as a means of identifying patients more prone to worse outcomes with coronary care.² Bergman et al³ defined frailty as enhanced vulnerability to stressors because of impairments in multiple, interrelated systems that lead to decline in homeostatic reserve and resiliency. Understanding the dynamic nature of frailty may assist healthcare providers in providing more appropriate patient care for the entire course of management.

Editorial, see p 194

Recent systematic reviews note >40 studies that address frailty in patients with cardiovascular disease published

between 2010 and 2014.^{2,4,5} Research has primarily focused on the association between baseline frailty and both short-term⁶ and long-term⁷ mortality after an event or procedure.^{2,4,5} Other outcomes considered include disability,^{8,9} cardiovascular events,^{10,11} and institutionalization,^{12,13} as well as the association between frailty and cardiovascular risk factors.^{14–16} Few studies have focused on frailty as a primary outcome and described it over time in cardiovascular patients.^{16,17}

Frailty scores are generally higher in women than men^{18,19} and rise exponentially with increasing age.^{20–22} Frailty trajectories may also vary by the type of coronary treatment (ie, coronary artery bypass graft [CABG] surgery, percutaneous coronary intervention [PCI], or medical therapy only [MT]) patients receive. Although a cardiac intervention might lead to an improvement in the person's frailty status by improving their

Received October 21, 2015; accepted March 2, 2016.

From the Department of Community Health Sciences (E.A.F., D.B.H., S.B.P., W.A.G., C.J.M.), Department of Medicine (D.B.H., T.A., W.A.G., M.K.), Department of Psychiatry (S.B.P.), Department of Cardiac Sciences (T.A.), Department of General Internal Medicine (W.A.G.), Mathison Centre for Mental Health Research and Education (S.B.P.), and Libin Cardiovascular Institute of Alberta (T.A., W.A.G., M.K.), University of Calgary, Calgary, Alberta, Canada; Department of Anesthesia, University of Toronto, Toronto, Ontario, Canada (H.W.); Department of Critical Care Medicine, Sunnybrook Health Sciences Center, Toronto, Ontario, Canada (H.W.); the Schools of Pharmacy and Public Health and Health Systems, University of Waterloo, Waterloo, Ontario, Canada (C.J.M.); and the Institute for Clinical Evaluative Sciences, Toronto, Ontario, Canada (C.J.M.).

The Data Supplement is available with this article at <http://circoutcomes.ahajournals.org/lookup/suppl/doi:10.1161/CIRCOUTCOMES.115.002204/-DC1>.

Correspondence to Elizabeth Freiheit, PhD, Department of Community Health Sciences, Faculty of Medicine, University of Calgary, 3330 Hospital Dr NW, Calgary, Alberta T2N 4N1, Canada. E-mail elizfreiheit@gmail.com

© 2016 American Heart Association, Inc.

Circ Cardiovasc Qual Outcomes is available at <http://circoutcomes.ahajournals.org>

DOI: 10.1161/CIRCOUTCOMES.115.002204

WHAT IS KNOWN

- Frailty is a dynamic, independent risk factor for cardiovascular outcomes.
- The frailty index (FI) is a valid, continuous measure of the severity of frailty, which has been frequently used in later-life frailty research.

WHAT THE STUDY ADDS

- After the initiation of coronary artery disease therapy, the trajectory of FI scores followed a U-shaped curve where frailty temporarily decreased but then increased after 6 months to 1 year.
- However, patients ≥75 years of age assigned to coronary artery bypass surgery or only medical treatment did not on average experience this reduction in their frailty severity with treatment.
- Patients ≥75 years of age, coronary artery bypass surgery patients, and women trended toward higher FI scores overall compared with other subgroups.

clinical symptoms, a more invasive procedure might also precipitate the onset or the deterioration of their frailty status.^{23–25} Using data from cardiac patients undergoing coronary angiography at a tertiary care center, we sought to determine the patterns of frailty change postprocedure and the influence on frailty trajectories of the sex, age, and treatment group of patients.

Methods

Study Design and Sample

This was a substudy of the Calgary Cardiac and Cognition (3C) Study, a prospective cohort investigation of the effect of physical, neurocognitive and psychological factors on health outcomes and functional recovery in older patients undergoing coronary treatments.²⁶ Three hundred seventy-four subjects aged ≥60 years were enrolled between October 2003 and February 2007. All underwent coronary angiography for CAD at an urban tertiary care hospital providing centralized cardiac services for southern Alberta. Recruitment was stratified according to 3 initial treatments assigned after the coronary angiogram: CABG (n=128), PCI (n=150), and MT (n=96). Potential participants were excluded if they underwent an emergency catheterization, had previous revascularization, or were unable to complete the assessment because of language difficulties or mental or physical impairments. Ethical approval was received from the Conjoint Health Research Ethics Board, University of Calgary, and participants provided informed consent.

Trained research nurses and associates administered a standardized assessment battery collecting neuropsychological and physical performance, sociodemographic, health behavior, activity of daily living, and health-related quality of life measurements at baseline (preprocedure) and 6, 12, and 30 months after the procedure. The 3C database was linked with the Alberta Provincial Project for Outcome Assessment in Coronary Heart Disease (APPROACH),²⁷ a registry of all patients undergoing cardiac catheterization in the province, for baseline clinical information. Three patients could not be linked because of out-of-province catheterizations (n=2) or missing linkage (n=1). Blood samples were collected for 357 of the 374 participants (95.5%) at the time of catheterization for patients receiving MT or at the time of revascularization for patients who underwent PCI and CABG. Figure 1 illustrates the subject flow for 3C.²⁶ Retention of participants was 89% during the course of the study. All patients were categorized according to their originally assigned treatment group.

Frailty Index

The outcome, frailty index (FI) score, was used because it is a widely employed, validated approach to the assessment of frailty²⁸ that is flexible in its implementation.^{29,30} Also, as a continuous measure, it would be expected to be more sensitive than a categorical one for assessing change over time. An FI is the proportion of age-related health deficits an individual has accumulated. A deficit can be any disease, symptom, laboratory abnormality, or a functional or cognitive impairment associated with health decline that accumulates but does not saturate with age.^{29–31} The index does not require a prespecified list of deficits as variables but can be implemented by anyone using any list of potential deficits, provided that they are at least 40 in number and that they come from a wide range of domains (physical, cognitive, disability, comorbidity, emotional, and social).³⁰ Individual frailty is scored as a proportion of actual deficits divided by total possible deficits, a decimal number between 0 and 1. The FI score is higher when there are more deficits.^{29–31} A higher FI is associated with an increased risk for institutionalization and short-term mortality.^{29,30}

In 3C, 53 potential deficits were derived from the clinical assessments, APPROACH data, and blood work obtained on participants, based on the criteria described above. Our selection covers a range of systems: physical, cognitive, emotional, health-related quality of life, disability, and medical condition,³⁰ and are described in Appendix A in the Data Supplement. The FI score was calculated as the number of deficits present in an individual divided by the number of potential deficits where data were available (ie, <53 if the data were not complete).

Statistical Analysis

Descriptive analyses were conducted to compare baseline sociodemographic and clinical characteristics by treatment group and across visits. To compare change by initial level of frailty, categories were created, the first 4 of equal width (0.06 in FI score), and all scores >0.24 for the last category. Equal-ranged categories were used, rather than sample-derived quintiles, to better compare the distribution and movement of data over time by comparing equal-sized ranges. For each of 3 transitions (baseline to 6 months, 6 to 12 months, and 12 to 30 months), proportions were estimated for FI score decrease (≥0.02 decrease in FI score), stable FI score (change of <0.02), FI score increase (≥0.02 increase in FI score), deaths, and withdrawals.³² A change of 0.02 was used as it corresponds with slightly more than a gain or loss of one deficit and is similar to the threshold used by other researchers.³³

To compare age, sex, and treatment group differences associated with change over time, we fitted FI scores to linear mixed models with a random intercept. Visit was modeled as a repeated categorical measure to accommodate a possible nonlinear relationship between

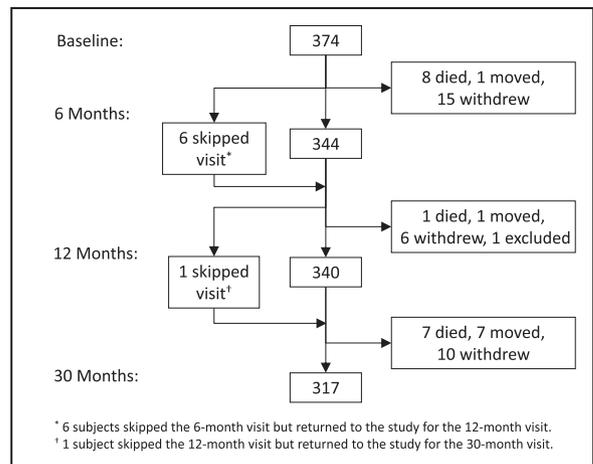


Figure 1. Calgary Cardiac and Cognition (3C) study flow. Reproduced from Freiheit et al²⁶ with permission of the publisher. Copyright © (2012) American Medical Association. All rights reserved.

score and time. For the age comparison, age was categorized into quartiles based on the sample distribution, to isolate the oldest and youngest quartiles. For the age by treatment group comparison, 2 categories were used, ≥ 75 and < 75 years, as the 3 lower age quartiles had similar results and were combined. Models were adjusted by age, sex, and education as appropriate because all have associations with frailty criteria.^{18–22,34} Ethnic differences were not examined because 95% of the sample was of European or unknown ethnicity.

Adjusted least-square means (ie, predicted marginal means estimated over a balanced population), their standard errors, and accompanying *P* values based on the tests of fixed effects³⁵ were recorded for each model. A *P* value is given for change in score across visits, for mean score differences between groups overall, and for differences between groups in mean score changes across visits. Residuals were

reviewed to check on assumptions of homoscedasticity and normal distribution. SAS 9.4 (SAS Institute, Cary, NC) was used for all analyses.

Results

Baseline Characteristics

The study sample was 26.7% female, with an average age of 71.4 years (Table 1). The MT group had a significantly higher proportion of women (39.6%) compared with the PCI group (27.3%) and CABG group (16.4%). The CABG group had a significantly higher proportion of patients with stable angina (74.4%), high-risk coronary anatomy (90.4%), and diabetes

Table 1. Baseline Characteristics of 3C Study Sample by Initial Treatment Group*

| Characteristic | All (n=374) | CABG (n=128) | PCI (n=150) | MT (n=96) | <i>P</i> Value† |
|--|---------------|---------------|---------------|---------------|-----------------|
| Age, mean±SD | 71.4 (5.9) | 71.3 (6.5) | 71.0 (5.5) | 72.3 (5.5) | 0.193 |
| Female sex, number (%) | 100 (26.7) | 21 (16.4) | 41 (27.3) | 38 (39.6) | <0.001 |
| Education years, mean±SD | 12.8 (3.8) | 13.1 (3.8) | 12.7 (3.7) | 12.6 (3.8) | 0.506 |
| Frailty index deficit sum, mean±SD‡ | 8.4 (4.2) | 8.9 (4.2) | 8.1 (3.8) | 8.2 (4.9) | 0.239 |
| Frailty index score, mean±SD‡ | 0.160 (0.080) | 0.170 (0.080) | 0.154 (0.071) | 0.154 (0.093) | 0.173 |
| Cardiovascular disease, % | | | | | |
| Admitted with stable angina§ | 246 (65.3) | 93 (74.4) | 92 (61.3) | 58 (60.4) | 0.036 |
| Acute coronary syndrome | 145 (38.9) | 45 (35.4) | 64 (42.7) | 36 (37.5) | 0.446 |
| Congestive heart failure§ | 38 (10.0) | 10 (8.0) | 16 (10.7) | 12 (12.5) | 0.537 |
| Canadian Cardiovascular Society angina class>II§ | 178 (48.0) | 53 (42.4) | 74 (49.3) | 51 (53.1) | 0.269 |
| High-risk coronary anatomy¶ | 174 (46.9) | 113 (90.4) | 45 (30.0) | 16 (16.7) | <0.001 |
| Ejection fraction <50%# | 61 (18.2) | 20 (18.7) | 21 (14.8) | 20 (22.0) | 0.531 |
| Vascular risk factors, % | | | | | |
| Smoking (former or current) | 267 (72.0) | 95 (74.2) | 108 (72.0) | 64 (66.7) | 0.454 |
| Hypertension | 305 (81.8) | 108 (85.0) | 125 (83.3) | 72 (75.0) | 0.128 |
| Diabetes mellitus (type I or II) | 103 (27.5) | 49 (38.3) | 37 (24.7) | 17 (17.7) | 0.002 |
| Hyperlipidemia§ | 312 (84.1) | 103 (82.4) | 129 (86.0) | 80 (83.3) | 0.699 |
| Comorbidities, %§ | | | | | |
| Cerebrovascular disease | 39 (10.5) | 17 (13.6) | 11 (7.3) | 11 (11.5) | 0.227 |
| Peripheral vascular disease | 33 (8.9) | 17 (13.6) | 5 (3.3) | 11 (11.5) | 0.007 |
| Pulmonary disease | 83 (22.4) | 25 (20.0) | 31 (20.7) | 27 (28.1) | 0.289 |
| Renal disease | 11 (3.0) | 4 (3.2) | 4 (2.7) | 3 (3.1) | 0.961 |
| Malignancy | 19 (5.1) | 6 (4.8) | 7 (4.7) | 6 (6.3) | 0.843 |
| Liver or gastrointestinal disease | 28 (7.5) | 9 (7.2) | 12 (8.0) | 7 (7.3) | 0.963 |

3C indicates Calgary Cardiac and Cognition; CABG, coronary artery bypass graft surgery; MT, medical therapy only; and PCI, percutaneous coronary intervention

*Two MT patients had a subsequent PCI at 3 and 20 mo (respectively) after baseline. Three PCI patients had a subsequent CABG at 7, 8, and 12 mo (respectively) after PCI procedure.

†Based on *F* test for continuous variables and χ^2 test for categorical variables.

‡Frailty index deficit sum is the raw sum of deficits of 53 possible criteria. Frailty index score is the deficit sum divided by the number of nonmissing criteria, 53 if the data are complete. See Appendix A in the Data Supplement for more information.

§Sample size for all (n=371), CABG (n=125), PCI (n=150), and MT (n=96).

||Sample combines information from Alberta Provincial Project for Outcome Assessment in Coronary Heart Disease (APPROACH) and visit questionnaires; 373 (all), 127 (CABG), 150 (PCI), and 96 (MT).

¶High risk defined as double-vessel coronary artery disease with proximal left anterior descending artery involvement, any 3-vessel disease, or left main disease.

#Sample is 336 (all), 103 (CABG), 142 (PCI), and 91 (MT) because of ejection fraction not being measured in all catheterizations.

mellitus (38.3%) compared with the other 2 treatment groups. Additional data describing the sample are shown in Table I in the Data Supplement. Mean baseline FI scores were not significantly different across the 3 treatment groups (0.170 for CABG and 0.154 for both PCI and MT; $P=0.173$). The mean FI score was 0.160 at baseline, 0.150 at 6 months, 0.151 at 12 months, and 0.162 at 30 months (Table I in the Data Supplement).

Proportions Showing Increases or Decreases in FI Score Over Time Intervals by Initial FI Score

Table 2 describes the proportions of the sample that increased, decreased, or maintained their FI score (± 0.02) during each transition period. This is stratified by FI score at the beginning of each time interval. Overall, a greater proportion of FI scores decreased (ie, frailty levels improved) from baseline to 6 months,

Table 2. Proportion of 3C Study Sample Exhibiting an Increase, Decrease, or Stable Frailty Index Scores, Stratified by FI Score at Beginning of Time Interval*†‡

| Frailty Score Range at Start of Time Interval (TI) [Change Over TI] | Baseline to 6 mo | | 6 mo to 12 mo | | 12 mo to 30 mo | |
|--|------------------|---------------|---------------|---------------|----------------|---------------|
| | Number | Proportion, % | Number | Proportion, % | Number | Proportion, % |
| FI score: 0 - 0.06 (lowest frailty) | n=18 | | n=33 | | n=37 | |
| [Decrease] | 2 | 11.1 | 0 | 0 | 0 | 0 |
| [Stable] | 11 | 61.1 | 25 | 75.8 | 18 | 48.7 |
| [Increase] | 4 | 22.2 | 8 | 24.2 | 15 | 40.5 |
| [Death] | 0 | 0 | 0 | 0 | 2 | 5.4 |
| [Lost to follow-up] | 1 | 5.6 | 0 | 0 | 2 | 5.4 |
| FI score: >0.06 -0.12 | n=109 | | n=124 | | n=119 | |
| [Decrease] | 25 | 22.9 | 10 | 8.1 | 14 | 11.8 |
| [Stable] | 67 | 61.5 | 85 | 68.6 | 77 | 64.7 |
| [Increase] | 15 | 13.8 | 24 | 19.4 | 22 | 18.5 |
| [Death] | 0 | 0 | 1 | 0.8 | 0 | 0 |
| [Lost to follow-up] | 2 | 1.8 | 4 | 3.2 | 6 | 5.0 |
| FI score: >0.12-0.18 | n=123 | | n=97 | | n=96 | |
| [Decrease] | 43 | 35.0 | 24 | 24.7 | 22 | 22.9 |
| [Stable] | 47 | 38.2 | 50 | 52.6 | 37 | 38.5 |
| [Increase] | 23 | 18.7 | 23 | 22.7 | 34 | 35.4 |
| [Death] | 2 | 1.6 | 0 | 0 | 2 | 2.1 |
| [Lost to follow-up] | 8 | 6.5 | 0 | 0 | 1 | 1.1 |
| FI score: >0.18-0.24 | n=65 | | n=44 | | n=42 | |
| [Decrease] | 27 | 41.5 | 15 | 34.1 | 11 | 26.2 |
| [Stable] | 20 | 30.8 | 16 | 36.4 | 13 | 30.1 |
| [Increase] | 10 | 18.7 | 11 | 25.0 | 14 | 33.3 |
| [Death§] | 4 | 6.2 | 0 | 0 | 1 | 2.4 |
| [Lost to follow-up] | 4 | 6.2 | 2 | 4.5 | 3 | 7.1 |
| FI score: >0.24 (highest frailty) | n=53 | | n=45 | | n=46 | |
| [Decrease] | 23 | 43.4 | 17 | 37.8 | 11 | 23.9 |
| [Stable] | 15 | 28.3 | 12 | 26.7 | 12 | 26.1 |
| [Increase] | 12 | 22.6 | 14 | 31.1 | 16 | 34.8 |
| [Death] | 2 | 3.8 | 0 | 0 | 2 | 4.3 |
| [Lost to follow-up] | 1 | 1.9 | 2 | 4.4 | 5 | 10.9 |

3C indicates Calgary Cardiac and Cognition.

*Decrease and increase are defined as a change in >0.02 in the frailty index score.

†Note that 6 patients who skipped month 6 and 2 patients who skipped month 12 are not included in the intervals pertaining to those visits.

‡Stable is defined as a change of <0.02 over the period.

§There were only 2 perioperative deaths in the study. Both had frailty index (FI) scores in this category.

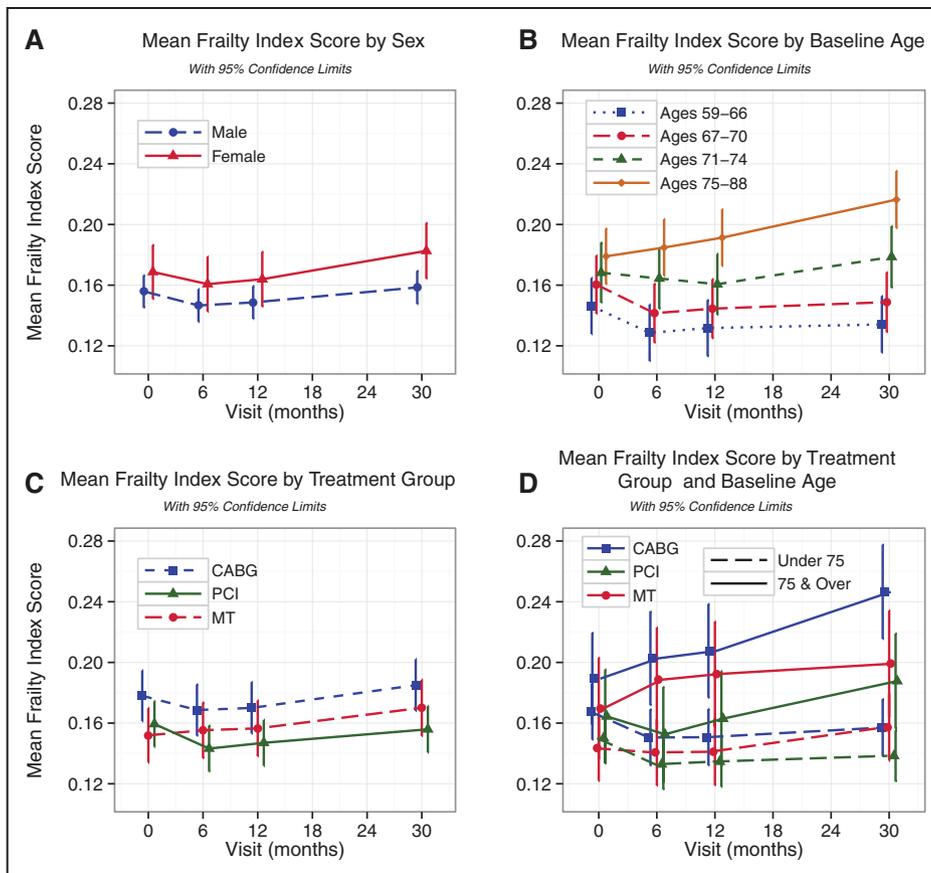


Figure 2. Mean frailty index scores over time by (A) sex, (B) baseline age category, (C) treatment group, and (D) treatment group and baseline age.

whereas a greater proportion of FI scores increased (ie, frailty levels worsened) during the later time intervals. The groups with the highest frailty levels (FI scores of 0.24+ and 0.18–0.24) were the most dynamic, with under a third maintaining stable FI scores during any transition period. By contrast, two-thirds of the 2 most robust groups maintained stable FI scores across any time interval. In the first 6 months postinitial treatment, there were more deaths in the highest frailty levels (>0.18), but no obvious pattern was observed during subsequent time intervals.

Frailty Trajectories by Sex, Age, and Treatment Groups

Figure 2 and Tables 3, 4, 5, and 6 present the adjusted mean FI scores at baseline, 6, 12, and 30 months postprocedure for the group overall and for 4 subgroup categories: (1) sex, (2) baseline

age category, (3) initial treatment group, and (4) baseline age (≥ 75 years) by treatment group. Overall, the mean FI scores followed a U-shaped curve, with scores declining after the initial treatment and rising thereafter ($P < 0.001$ for differences over time).

As illustrated in Figure 2A and Table 3, women showed a nonsignificantly higher mean FI score than men across all visits ($P = 0.097$). For both sexes, the change in the FI score over time followed a U shape with an initial decline from baseline followed by an increase postprocedure. This change over time was statistically significant ($P < 0.001$). Male and female trajectories did not differ from each other ($P = 0.352$).

Frailty differed by age group overall ($P < 0.001$), with older age groups showing consistently higher mean FI scores than younger ones across all visits (Figure 2B; Table 4). FI score trajectories also differed by age group ($P < 0.001$), with the

Table 3. Mean Frailty Index Scores Over Time by Sex

| | Sample Sizes at 0, 6, 12, 30 mo | Least-Square Means (Standard Error)* | | | | Expected 30 mo† | P Values | |
|---------|---------------------------------|--------------------------------------|----------------|----------------|----------------|-----------------|-----------------------------|--------|
| | | Baseline | 6 mo | 12 mo | 30 mo | | Associated With Differences | |
| Overall | 374, 344, 340, 317 | 0.163 (0.0052) | 0.154 (0.0052) | 0.156 (0.0052) | 0.169 (0.0053) | 0.178 | Between visits | <0.001 |
| | | | | | | | Between sexes | 0.097 |
| Female | 100, 91, 91, 83 | 0.169 (0.0091) | 0.161 (0.0092) | 0.164 (0.0092) | 0.183 (0.0093) | 0.184 | Between visits | <0.001 |
| Male | 274, 253, 249, 234 | 0.156 (0.0054) | 0.147 (0.0055) | 0.149 (0.0055) | 0.159 (0.0056) | 0.170 | Sexes by visits | 0.352 |

*Least-square means are predicted marginal means estimated over a balanced population, adjusted by age and education.

†Based on an annual rate of increase of 3.5% in community-dwelling persons. This is the baseline frailty index score times 1.09 ($= 1.035^{2.5}$).²²

Table 4. Mean Frailty Index Scores Over Time by Baseline Age Category

| | Sample Sizes at 0, 6, 12, 30 mo | Least-Square Means (Standard Error)* | | | | Expected 30 mo† | P Values | |
|----------------------|---------------------------------|--------------------------------------|----------------|----------------|----------------|-----------------|-----------------------------|--------|
| | | Baseline | 6 mo | 12 mo | 30 mo | | Associated With Differences | |
| Baseline age 59–66 y | 100, 94, 95, 91 | 0.146 (0.0094) | 0.129 (0.0095) | 0.132 (0.0095) | 0.134 (0.0095) | 0.159 | Between age groups | <0.001 |
| Baseline age 67–70 y | 91, 84, 81, 73 | 0.160 (0.0098) | 0.142 (0.0099) | 0.145 (0.0099) | 0.149 (0.0100) | 0.174 | Between visits | <0.001 |
| Baseline age 71–74 y | 87, 81, 80, 73 | 0.168 (0.0101) | 0.164 (0.0102) | 0.161 (0.0102) | 0.179 (0.0103) | 0.183 | Age groups by visits | <0.001 |
| Baseline age 75–88 y | 96, 85, 84, 80 | 0.179 (0.0093) | 0.185 (0.0095) | 0.191 (0.0095) | 0.216 (0.0096) | 0.195 | | |

*Least-square means are predicted marginal means estimated over a balanced population, adjusted by sex and education.

†Based on an annual rate of increase of 3.5% in community-dwelling persons. This is the baseline frailty index score times 1.09 (=1.035^{2.5}).²²

oldest quartile (75+) failing to show the early improvement observed in the younger age groups.

Overall, 3C participants who underwent CABG as their initial treatment trended toward higher mean FI scores across all visits than those who underwent PCI or received MT only ($P=0.053$; Figure 2C; Table 5). U-shaped curves were observed for both PCI and CABG groups. For the PCI group, the decrease in mean FI score was greater at 6 and 12 months. FI scores were still slightly lower than baseline at 30 months. For the CABG group, the decrease in mean FI score was not as great at 6 and 12 months, and the score at 30 months was greater than baseline. By contrast, the mean FI score for patients assigned to MT tended to increase over time. However, the P value for treatment differences in FI score trajectories was 0.090.

Treatment group trajectories did not vary by sex (results not shown, $P=0.579$); however, they did vary by age (Figure 2D; Table 6). Specifically, mean FI scores for CABG patients aged <75 years decreased in months 6 and 12, but mean FI scores for CABG patients aged ≥75 years increased steadily from baseline. Similarly, mean FI scores increased steadily for MT patients aged ≥75 years, whereas mean FI scores for those aged <75 years did not. Mean FI scores declined from baseline to 6 months for all PCI patients regardless of age. However, after month 6, mean FI scores increased for those aged ≥75 years receiving PCI but not for the younger PCI group. These age differences between treatment groups in change over time were statistically significant ($P=0.041$).

The seventh column of Tables 3, 4, 5, and 6 shows what the mean FI score would have been if the rate of increase from the baseline score had been 3.5% per year, as observed

in adult, community-dwelling populations who do not necessarily have cardiovascular disease.²² In comparison with the adjusted mean FI scores at 30 months, patients overall had a lower average FI score than would be expected (0.169 compared with 0.178). Looking at the subgroups, those who had lower FI scores than would be expected were men, those <75 years of age, and those who had CABG and PCI. Those >75 years with CAD become frailer at a faster rate than predicted for community-dwelling seniors regardless of treatment assignment. This comparison is speculative as we are comparing patients with CAD to a general population, but it does provide context to the rate of change within this sample.

All analyses done using the FI were also performed using a frailty screening tool developed on the study sample.³⁶ Similar patterns with regard to sex, treatment, and age differences were observed. These results are not shown but are available on request.

Discussion

This is one of the first studies to determine frailty in patients before and after implementation of invasive therapy (CABG and PCI) or assignment to MT for CAD. It provides a guide as to the anticipated frailty trajectory for patients diagnosed with and treated for CAD. Frailty took on a U-shaped trajectory for the whole sample. However, different patterns emerged within particular age and treatment groups. Trends did not vary based on initial frailty status. However, frailty was more dynamic in frailer groups as the 2 frailest categories had the smallest proportion with stable FI scores across any of the 3 intervals.

Table 5. Mean Frailty Index Scores Over Time by Treatment Group

| | Sample Sizes at 0, 6, 12, 30 mo | Least-Square Means (SE)* | | | | Expected 30 mo† | P Values | |
|-----------------|---------------------------------|--------------------------|----------------|----------------|----------------|-----------------|-----------------------------|--------|
| | | Baseline | 6 mo | 12 mo | 30 mo | | Associated With Differences | |
| CABG | 128, 120, 119, 111 | 0.178 (0.0085) | 0.169 (0.0086) | 0.170 (0.0086) | 0.185 (0.0087) | 0.194 | Between treatments | 0.053 |
| PCI | 150, 139, 137, 126 | 0.159 (0.0076) | 0.143 (0.0077) | 0.147 (0.0077) | 0.156 (0.0078) | 0.173 | Between visits | <0.001 |
| Medical therapy | 96, 85, 84, 80 | 0.152 (0.0092) | 0.155 (0.0094) | 0.157 (0.0094) | 0.170 (0.0094) | 0.166 | Treatments by visits | 0.090 |

CABG indicates coronary artery bypass graft; and PCI, percutaneous coronary intervention.

*Least-square means are predicted marginal means estimated over a balanced population, adjusted by age, sex, and education.

†Based on an annual rate of increase of 3.5% in community-dwelling persons. This is the baseline frailty index score times 1.09 (=1.035^{2.5}).²²

Table 6. Mean Frailty Index Scores Over Time by Treatment Group and Baseline Age

| | Sample Sizes at 0, 6, 12, 30 mo | Least-Square Means (SE)* | | | | Expected 30 mo† | P Values | |
|-------------------------------|------------------------------------|--------------------------|----------------|----------------|----------------|--------------------|---|--------|
| | | Baseline | 6 mo | 12 mo | 30 mo | | Associated With Differences | |
| CABG, age <75 y | 94, 90, 89, 82 | 0.168 (0.0094) | 0.151 (0.0094) | 0.151 (0.0095) | 0.157 (0.0096) | 0.183 | Between treatments | 0.0338 |
| CABG, age ≥75 y | 34, 30, 30, 29 | 0.189 (0.0154) | 0.203 (0.0158) | 0.208 (0.0158) | 0.247 (0.0158) | 0.206 | Between age <75/≥75+ y | <0.001 |
| PCI, age <75 y | 117, 108, 106, 96 | 0.150 (0.0083) | 0.133 (0.0084) | 0.135 (0.0084) | 0.138 (0.0085) | 0.164 | Between visits | <0.001 |
| PCI, age ≥75 y | 33, 31, 31, 30 | 0.164 (0.0158) | 0.153 (0.0159) | 0.163 (0.0159) | 0.188 (0.0160) | 0.179 | Treatments by visit | 0.080 |
| Medical therapy, age <75 y | 117, 108, 106, 96 | 0.144 (0.0110) | 0.141 (0.0112) | 0.141 (0.0112) | 0.157 (0.0112) | 0.157 | Age <75/≥75+ y by visit | <0.001 |
| Medical therapy, age ≥75 y | 29, 24, 23, 21 | 0.170 (0.0170) | 0.189 (0.0175) | 0.192 (0.0176) | 0.199 (0.0178) | 0.185 | Treatments by age <75/≥75+ y | 0.519 |
| | | | | | | | Treatments by age <75/≥75+ y by visits | 0.041 |

CABG indicates coronary artery bypass graft; and PCI, percutaneous coronary intervention.

*Least-square means are predicted marginal means estimated over a balanced population, adjusted by sex and education.

†Based on an annual rate of increase of 3.5% in community-dwelling persons. This is the baseline frailty index score times 1.09 (=1.035^{2.5}).²²

Older participants were less likely to experience an improvement in frailty after implementation of their treatment plan and tended to have steeper increases than younger age groups. This is also consistent with literature that describes an exponential relationship between frailty and age.^{20–22} The interaction between age and treatment plan has important implications for individual patient care. Despite significant improvements in survival for CAD patients undergoing coronary treatments, including older patients, these differences may impact important functional and quality of life outcomes. For example, the negative effects of hospitalization, because of prolonged loss of mobility, may impact older age groups more than younger groups, overriding health improvements after CABG and leaving a patient with a reduced resilience.^{23–25,37}

Consistent with the literature, women trended toward higher FI scores than men.^{18,38} However, men and women followed parallel courses during the 30-month period, and treatment group differences did not vary by sex. Some researchers have asserted that in the general population, women have less risk of unfavorable outcomes than men at similar frailty levels.²⁹ Others have concluded that women have more risk because of higher frailty measurements.¹⁸ Previous research has found sex differences in acute coronary syndrome presentation and outcomes, which could not be accounted for by baseline clinical differences.³⁹ The inclusion of frailty measures may help to account for some of these differences.

Numerous publications have looked at frailty longitudinally in general populations: characterizing frailty transitions,^{22,32,33,40} examining potential predictors of transitions,^{41–43} testing interventions to limit worsening frailty,⁴⁴ and comparing static versus dynamic frailty measures to predict functional decline.⁴⁵ However, few have looked longitudinally at a cohort of patients with cardiovascular disease. A literature search revealed only one previous study looking at frailty at more than one time point in patients with cardiovascular disease. Myers et al¹⁷ categorized an FI at baseline postacute myocardial infarction and 10 to 13 years postbaseline using 32 variables and found an association between the 2 FI measurements with mortality. Our study made use of the FI as a

continuous measurement and focused on describing the trajectory of frailty as patients progressed from treatment through recovery and beyond.

Study Strengths and Limitations

A particular strength of our study is the large clinical sample, the detail of repeat measurements, and the 30-month length, relative to other clinical prospective studies that examined cardiovascular interventions. We compared 3 treatment plans including MT and had high retention. Our FI incorporated criteria from a wide range of domains, including cognitive, emotional, quality of life, as well as physical performance criteria.

One limitation of the study is that a clinically meaningful FI score change has not been established. Rockwood et al^{46,47} did report mean FI scores for frailty categories based on other scales. For example, 0.22 was the mean FI score for people categorized as apparently vulnerable, and 0.27 was the mean FI score for people categorized as mildly frail on the Canadian Study of Health and Aging Clinical Frailty Scale. Individuals assigned to both categories have been shown to have worse survival than those in less frail categories. However, there was a large variance for FI scores within each category.⁴⁶ No minimum meaningful difference has yet been established for this continuous measurement to help guide interpretation of change. This represents an area for future research.

Another limitation is that this study was based in a single tertiary care center. Because the revascularization practices at this center may differ from other centers performing CABG and PCI,⁴⁸ generalizability may be limited. In addition, this study was not a randomized controlled trial. Some differences seen may be attributable to confounders not included in the analysis. In this population, mortality was too rare to include as an outcome in this analysis. The 3C study participants were younger and healthier than typical prospective cohorts included in frailty studies. Nevertheless, this analysis gives us important information about frailty at an early stage, when individuals may be less burdened by disabilities, but resilience is beginning to erode. Although this study is larger than many clinical prospective cohort studies of this type, it is smaller than some other community-based frailty studies.

Conclusions

This study is one of the first to describe the different frailty trajectories for patients with CAD undergoing different approaches to their therapy. We found that frailty was more dynamic in frailer patients in this group. Women, older patients, and those undergoing CABG trended toward higher levels of frailty overall. In our sample, frailty followed a U-shaped curve after revascularization. However, relatively older patients (aged ≥ 75 years) initially assigned to MT or CABG did not experience this temporary decrease (or improvement). They showed continuous increases in their frailty level from baseline during the 30 months. If confirmed by other studies, frailty trajectories could be used to inform individualized decision making about initial treatment choices and allow more tailored subsequent patient care and monitoring once effective therapeutic approaches have been demonstrated.²

Further research is needed to confirm our findings and extend them to more diverse patient populations. Examining frailty as a time-varying covariate within larger and longer-term studies would provide additional information about the differences in trajectories in terms of outcomes and provide a more nuanced context for possible interventions. A major gap in our current understanding of the management of frailty is the need for effective therapeutics. Studying the impact of interventions^{49,50} on frailty trajectories could speed up the development process and allow comparisons between studies and approaches.

Acknowledgments

We thank the Calgary Cardiac and Cognition (3C) study coordinators and research nurses for their assistance with project management and data collection. We also thank the 3C study coinvestigators for their clinical assistance and review. We are especially grateful to the 3C participants and their families for their significant contributions to the study.

Sources of Funding

Funding for this study was received from the Canadian Institutes of Health Research Institute of Aging (IAO-63151), the M.S.I. Foundation (no. 810), and the Brenda Strafford Foundation Chair for Geriatric Medicine. Dr Hogan holds and receives funding from the Brenda Strafford Foundation Chair in Geriatric Medicine, University of Calgary. The funding organizations played no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review or approval of the article.

Disclosures

None.

References

- American Heart Association Statistics Committee and Stroke Statistics Subcommittee. Heart Disease and Stroke Statistics—2013 Update: a report from the American Heart Association. *Circulation*. 2013;127:e6–e245.
- Afilalo J, Alexander KP, Mack MJ, Maurer MS, Green P, Allen LA, Popma JJ, Ferrucci L, Forman DE. Frailty assessment in the cardiovascular care of older adults. *J Am Coll Cardiol*. 2014;63:747–762. doi: 10.1016/j.jacc.2013.09.070.
- Bergman H, Ferrucci L, Guralnik J, Hogan DB, Hummel S, Karunanathan S, Wolfson C. Frailty: an emerging research and clinical paradigm—issues and controversies. *J Gerontol A Biol Sci Med Sci*. 2007;62:731–737.
- Furukawa H, Tanemoto K. Frailty in cardiothoracic surgery: systematic review of the literature. *Gen Thorac Cardiovasc Surg*. 2015;63:425–433. doi: 10.1007/s11748-015-0553-8.
- Jha SR, Ha HS, Hickman LD, Hannu M, Davidson PM, Macdonald PS, Newton PJ. Frailty in advanced heart failure: a systematic review. *Heart Fail Rev*. 2015;20:553–560. doi: 10.1007/s10741-015-9493-8.
- Ekerstad N, Swahn E, Janzon M, Alfredsson J, Löfmark R, Lindenberg M, Carlsson P. Frailty is independently associated with short-term outcomes for elderly patients with non-ST-segment elevation myocardial infarction. *Circulation*. 2011;124:2397–2404. doi: 10.1161/CIRCULATIONAHA.111.025452.
- Cacciatore F, Abete P, Mazzella F, Viati L, Della Morte D, D'Ambrosio D, Gargiulo G, Testa G, Santis D, Galizia G, Ferrara N, Rengo F. Frailty predicts long-term mortality in elderly subjects with chronic heart failure. *Eur J Clin Invest*. 2005;35:723–730. doi: 10.1111/j.1365-2362.2005.01572.x.
- Green P, Woglom AE, Genereux P, Maurer MS, Kirtane AJ, Hawkey M, Schnell S, Sohn J, Moses JW, Leon MB, Smith CR, Williams M, Kodali S. Gait speed and dependence in activities of daily living in older adults with severe aortic stenosis. *Clin Cardiol*. 2012;35:307–314. doi: 10.1002/clc.21974.
- Volpato S, Cavalieri M, Sioulis F, Guerra G, Maraldi C, Zuliani G, Fellin R, Guralnik JM. Predictive value of the Short Physical Performance Battery following hospitalization in older patients. *J Gerontol A Biol Sci Med Sci*. 2011;66:89–96. doi: 10.1093/gerona/glq167.
- Khan H, Kalogeropoulos AP, Georgiopoulos VV, Newman AB, Harris TB, Rodondi N, Bauer DC, Kritchevsky SB, Butler J. Frailty and risk for heart failure in older adults: the health, aging, and body composition study. *Am Heart J*. 2013;166:887–894. doi: 10.1016/j.ahj.2013.07.032.
- Matsuzawa Y, Konishi M, Akiyama E, Suzuki H, Nakayama N, Kiyokuni M, Sumita S, Ebina T, Kosuge M, Hibi K, Tsukahara K, Iwahashi N, Endo M, Maejima N, Saka K, Hashiba K, Okada K, Taguri M, Morita S, Sugiyama S, Ogawa H, Sashika H, Umemura S, Kimura K. Association between gait speed as a measure of frailty and risk of cardiovascular events after myocardial infarction. *J Am Coll Cardiol*. 2013;61:1964–1972. doi: 10.1016/j.jacc.2013.02.020.
- Lee DH, Buth KJ, Martin BJ, Yip AM, Hirsch GM. Frail patients are at increased risk for mortality and prolonged institutional care after cardiac surgery. *Circulation*. 2010;121:973–978. doi: 10.1161/CIRCULATIONAHA.108.841437.
- Robinson TN, Wallace JI, Wu DS, Wiktor A, Pointer LF, Pfister SM, Sharp TJ, Buckley MJ, Moss M. Accumulated frailty characteristics predict postoperative discharge institutionalization in the geriatric patient. *J Am Coll Surg*. 2011;213:37–42; discussion 42. doi: 10.1016/j.jamcollsurg.2011.01.056.
- Ramsay SE, Arianayagam DS, Whincup PH, Lennon LT, Cryer J, Papacosta AO, Iliffe S, Wannamethee SG. Cardiovascular risk profile and frailty in a population-based study of older British men. *Heart*. 2015;101:616–622. doi: 10.1136/heartjnl-2014-306472.
- Stewart R. Do risk factors for cardiovascular disease also increase the risk of frailty? *Heart*. 2015;101:582–583. doi: 10.1136/heartjnl-2014-307167.
- Bouillon K, Batty GD, Hamer M, Sabia S, Shipley MJ, Britton A, Singh-Manoux A, Kivimäki M. Cardiovascular disease risk scores in identifying future frailty: the Whitehall II prospective cohort study. *Heart*. 2013;99:737–742. doi: 10.1136/heartjnl-2012-302922.
- Myers V, Drory Y, Gerber Y; Israel Study Group on First Acute Myocardial Infarction. Clinical relevance of frailty trajectory post myocardial infarction. *Eur J Prev Cardiol*. 2014;21:758–766. doi: 10.1177/2047487312462828.
- Puts MT, Lips P, Deeg DJ. Sex differences in the risk of frailty for mortality independent of disability and chronic diseases. *J Am Geriatr Soc*. 2005;53:40–47. doi: 10.1111/j.1532-5415.2005.53008.x.
- Clegg A, Young J, Iliffe S, Rikkert MO, Rockwood K. Frailty in elderly people. *Lancet*. 2013;381:752–762. doi: 10.1016/S0140-6736(12)62167-9.
- Carey JR, Robine J-M, Michel J-P. *Longevity and Frailty: Research and Perspectives in Longevity*. Heidelberg, Germany: Springer-Verlag; 2006.
- Rockwood K, Mogilner A, Mitnitski A. Changes with age in the distribution of a frailty index. *Mech Ageing Dev*. 2004;125:517–519. doi: 10.1016/j.mad.2004.05.003.
- Mitnitski A, Rockwood K. The rate of aging: the rate of deficit accumulation does not change over the adult life span. *Biogerontology*. 2016;17:199–204. doi: 10.1007/s10522-015-9583-y.
- Covinsky KE, Palmer RM, Fortinsky RH, Counsell SR, Stewart AL, Kresevic D, Burant CJ, Landefeld CS. Loss of independence in activities of daily living in older adults hospitalized with medical illnesses: increased vulnerability with age. *J Am Geriatr Soc*. 2003;51:451–458.

24. Gill TM, Allore HG, Gahbauer EA, Murphy TE. Change in disability after hospitalization or restricted activity in older persons. *JAMA*. 2010;304:1919–1928. doi: 10.1001/jama.2010.1568.
25. Graf C. Functional decline in hospitalized older adults. *Am J Nurs*. 2006;106:58–67, quiz 67.
26. Freiheit EA, Hogan DB, Eliasziw M, Patten SB, Demchuk AM, Faris P, Anderson T, Galbraith D, Parboosingh JS, Ghali WA, Knudtson M, Maxwell CJ. A dynamic view of depressive symptoms and neurocognitive change among patients with coronary artery disease. *Arch Gen Psychiatry*. 2012;69:244–255. doi: 10.1001/archgenpsychiatry.2011.1361.
27. Ghali WA, Knudtson ML. Overview of the Alberta Provincial Project for Outcome Assessment in Coronary Heart Disease. On behalf of the APPROACH investigators. *Can J Cardiol*. 2000;16:1225–1230.
28. Bouillon K, Kivimaki M, Hamer M, Sabia S, Fransson EI, Singh-Manoux A, Gale CR, Batty GD. Measures of frailty in population-based studies: an overview. *BMC Geriatr*. 2013;13:64. doi: 10.1186/1471-2318-13-64.
29. Rockwood K, Mitnitski A. Frailty in relation to the accumulation of deficits. *J Gerontol A Biol Sci Med Sci*. 2007;62:722–727.
30. Searle SD, Mitnitski A, Gahbauer EA, Gill TM, Rockwood K. A standard procedure for creating a frailty index. *BMC Geriatr*. 2008;8:24. doi: 10.1186/1471-2318-8-24.
31. Rockwood K, Mitnitski A, Song X, Steen B, Skoog I. Long-term risks of death and institutionalization of elderly people in relation to deficit accumulation at age 70. *J Am Geriatr Soc*. 2006;54:975–979. doi: 10.1111/j.1532-5415.2006.00738.x.
32. Gill TM, Gahbauer EA, Allore HG, Han L. Transitions between frailty states among community-living older persons. *Arch Intern Med*. 2006;166:418–423. doi: 10.1001/archinte.166.4.418.
33. Fallah N, Mitnitski A, Searle SD, Gahbauer EA, Gill TM, Rockwood K. Transitions in frailty status in older adults in relation to mobility: a multistate modeling approach employing a deficit count. *J Am Geriatr Soc*. 2011;59:524–529. doi: 10.1111/j.1532-5415.2011.03300.x.
34. Hendrie HC, Albert MS, Butters MA, Gao S, Knopman DS, Launer LJ, Yaffe K, Cuthbert BN, Edwards E, Wagster MV. The NIH Cognitive and Emotional Health Project. Report of the Critical Evaluation Study Committee. *Alzheimers Dement*. 2006;2:12–32. doi: 10.1016/j.jalz.2005.11.004.
35. Littell RC, Milliken GA, Stroup WW, Wolfinger RD, Oliver S. *SAS for Mixed Models*. 2nd ed. Cary, NC: SAS Publishing; 2006.
36. Freiheit EA, Hogan DB, Eliasziw M, Meekes MF, Ghali WA, Partlo LA, Maxwell CJ. Development of a frailty index for patients with coronary artery disease. *J Am Geriatr Soc*. 2010;58:1526–1531. doi: 10.1111/j.1532-5415.2010.02961.x.
37. Boyd CM, Landefeld CS, Counsell SR, Palmer RM, Fortinsky RH, Kresevic D, Burant C, Covinsky KE. Recovery of activities of daily living in older adults after hospitalization for acute medical illness. *J Am Geriatr Soc*. 2008;56:2171–2179. doi: 10.1111/j.1532-5415.2008.02023.x.
38. Rockwood K, Mitnitski A. Frailty defined by deficit accumulation and geriatric medicine defined by frailty. *Clin Geriatr Med*. 2011;27:17–26. doi: 10.1016/j.cger.2010.08.008.
39. Hochman JS, Tamis JE, Thompson TD, Weaver WD, White HD, Van de Werf F, Aylward P, Topol EJ, Califf RM. Sex, clinical presentation, and outcome in patients with acute coronary syndromes. Global Use of Strategies to Open Occluded Coronary Arteries in Acute Coronary Syndromes IIB Investigators. *N Engl J Med*. 1999;341:226–232. doi: 10.1056/NEJM199907223410402.
40. Gill TM, Gahbauer EA, Han L, Allore HG. The relationship between intervening hospitalizations and transitions between frailty states. *J Gerontol A Biol Sci Med Sci*. 2011;66:1238–1243. doi: 10.1093/gerona/glr142.
41. Espinoza SE, Jung I, Hazuda H. Frailty transitions in the San Antonio Longitudinal Study of Aging. *J Am Geriatr Soc*. 2012;60:652–660. doi: 10.1111/j.1532-5415.2011.03882.x.
42. Lee JS, Auyeung TW, Leung J, Kwok T, Woo J. Transitions in frailty states among community-living older adults and their associated factors. *J Am Med Dir Assoc*. 2014;15:281–286. doi: 10.1016/j.jamda.2013.12.002.
43. Shardell M, D'Adamo C, Alley DE, Miller RR, Hicks GE, Milanesechi Y, Semba RD, Cherubini A, Bandinelli S, Ferrucci L. Serum 25-hydroxyvitamin D, transitions between frailty states, and mortality in older adults: the Invecchiare in Chianti Study. *J Am Geriatr Soc*. 2012;60:256–264. doi: 10.1111/j.1532-5415.2011.03830.x.
44. Upatising B, Hanson GJ, Kim YL, Cha SS, Yih Y, Takahashi PY. Effects of home telemonitoring on transitions between frailty states and death for older adults: a randomized controlled trial. *Int J Gen Med*. 2013;6:145–151. doi: 10.2147/IJGM.S40576.
45. Puts MT, Lips P, Deeg DJ. Static and dynamic measures of frailty predicted decline in performance-based and self-reported physical functioning. *J Clin Epidemiol*. 2005;58:1188–1198. doi: 10.1016/j.jclinepi.2005.03.008.
46. Rockwood K, Song X, MacKnight C, Bergman H, Hogan DB, McDowell I, Mitnitski A. A global clinical measure of fitness and frailty in elderly people. *CMAJ*. 2005;173:489–495. doi: 10.1503/cmaj.050051.
47. Rockwood K, Andrew M, Mitnitski A. A comparison of two approaches to measuring frailty in elderly people. *J Gerontol A Biol Sci Med Sci*. 2007;62:738–743.
48. Ouzounian M, Ghali W, Yip AM, Buth KJ, Humphries K, Stukel TA, Norris CM, Southern DA, Galbraith PD, Thompson CR, Abel J, Love MP, Hassan A, Hirsch GM. Determinants of percutaneous coronary intervention vs coronary artery bypass grafting: an interprovincial comparison. *Can J Cardiol*. 2013;29:1454–1461. doi: 10.1016/j.cjca.2013.03.026.
49. Bendayan M, Bibas L, Levi M, Mullie L, Forman DE, Afilalo J. Therapeutic interventions for frail elderly patients: part II. Ongoing and unpublished randomized trials. *Prog Cardiovasc Dis*. 2014;57:144–151. doi: 10.1016/j.pcad.2014.07.005.
50. Bibas L, Levi M, Bendayan M, Mullie L, Forman DE, Afilalo J. Therapeutic interventions for frail elderly patients: part I. Published randomized trials. *Prog Cardiovasc Dis*. 2014;57:134–143. doi: 10.1016/j.pcad.2014.07.004.

Frailty Trajectories After Treatment for Coronary Artery Disease in Older Patients

Elizabeth A. Freiheit, David B. Hogan, Scott B. Patten, Hannah Wunsch, Todd Anderson,
William A. Ghali, Merril Knudtson and Colleen J. Maxwell

Circ Cardiovasc Qual Outcomes. 2016;9:230-238; originally published online May 10, 2016;
doi: 10.1161/CIRCOUTCOMES.115.002204

Circulation: Cardiovascular Quality and Outcomes is published by the American Heart Association, 7272
Greenville Avenue, Dallas, TX 75231

Copyright © 2016 American Heart Association, Inc. All rights reserved.

Print ISSN: 1941-7705. Online ISSN: 1941-7713

The online version of this article, along with updated information and services, is located on the
World Wide Web at:

<http://circoutcomes.ahajournals.org/content/9/3/230>

Data Supplement (unedited) at:

<http://circoutcomes.ahajournals.org/content/suppl/2016/05/10/CIRCOUTCOMES.115.002204.DC1>

Permissions: Requests for permissions to reproduce figures, tables, or portions of articles originally published in *Circulation: Cardiovascular Quality and Outcomes* can be obtained via RightsLink, a service of the Copyright Clearance Center, not the Editorial Office. Once the online version of the published article for which permission is being requested is located, click Request Permissions in the middle column of the Web page under Services. Further information about this process is available in the [Permissions and Rights Question and Answer](#) document.

Reprints: Information about reprints can be found online at:

<http://www.lww.com/reprints>

Subscriptions: Information about subscribing to *Circulation: Cardiovascular Quality and Outcomes* is online at:

<http://circoutcomes.ahajournals.org/subscriptions/>

SUPPLEMENTAL MATERIAL

eAppendix A: Frailty Index Construction

Missing data, value assignment

A neuropsychologist and a geriatrician reviewed all available data for persons with intermittent missing test values. A value was assigned indicating a deficit if a participant was deemed too impaired in that particular domain to complete the test. If no such deduction could be made, a single conditional mean based on a Monte-Carlo Markov Chain imputation process was used to assign a value.¹ Only 0.1% to 1.7% of any given criteria were completed based on imputation. No assignments were made for missed visits, missing APPROACH or bloodwork data.

Frailty Index (FI) Construction

The following criteria were used to determine which data would be included as deficits in the FI:²

- 1) The deficit is associated with health status.
- 2) The prevalence of the deficit in the population increases with the age of the population.
- 3) The deficit does not saturate within a population until very old age, if at all.
- 4) The deficits overall cover a range of systems.
- 5) The measurement collected for an entire sample is comprised of the same deficits.

One point was given for any of the following 53 deficits to create the FI score. Partial points were given as indicated below. Measurements were taken at all visits unless otherwise indicated. Physical characteristics (5 deficits) included body mass index, two questions and two tests from the MacArthur Studies of Successful Aging.^{3,4} Health-related quality of life criteria (6 deficits) included a self-rated health question, and five items from the EuroQOL EQ-5D questionnaire.^{5,6} Cognitive criteria (6 deficits) were age, sex, and education-adjusted scores from the animal naming test⁷, “FAS” letter naming test⁷, a global cognition test⁸, a trail-making executive function test⁷, a verbal delayed recall test⁹ and a visual-spatial delayed recall test¹⁰. Mood criteria (4 deficits) include an anxiety scale¹¹, the 15-item Geriatric Depression Scale¹², and two subscales based on the Geriatric Depression Scale.¹³ Self-reported activities of daily living (7 deficits) and instrumental activities of daily living (7 deficits) provided functional

SUPPLEMENTAL MATERIAL

criteria.¹⁴ Baseline diseases (12 deficits) and medical conditions (5 deficits) such as ejection fraction were provided by APPROACH. Of these, diabetes, acute coronary syndrome, and hypertension were updated during caregiver interviews.¹⁵ Self-reported strokes and TIAs were collected using a validated stroke questionnaire.¹⁶ Collected blood samples provided homocysteine and B12 levels. Living arrangements (1 deficit) were self-reported.

For the 53 criteria, data was complete for 87.2-87.8% of the study population across all visits. For between 11.0% and 11.7% of the sample, across all visits, 51 or 52 criteria were present. The denominator was between 40 and 50, due to missing data, for approximately 1% of the study sample across all visits.

Physical Characteristics and Performance^{3,4,17}

1. Abnormal body mass index (< 21 or >30 kg/m²) based on self-reported height and weight
2. Unable or didn't know if able to walk up stairs without help (self-reported)
3. Unable or didn't know if able to walk half a mile without help (self-reported)
4. Balance test: unable to hold full tandem for >10 sec
5. Gait test: unable to walk 8 feet in <4 sec

Health-Related Quality of Life^{5,6}

6. Response of "fair" or "poor" to question, "In general, would you say your health is excellent, very good, good, fair, or poor?"
7. Some problems with washing/dressing (0.5); unable to wash/dress (1.0)
8. Some problems performing usual activities (work, study, housework, leisure) (0.5); unable (1.0)
9. Has moderate pain/discomfort (0.5); has extreme pain/discomfort (1.0)
10. Is moderately anxious or depressed (0.5); is extremely anxious or depressed. (1.0)
11. Self-rated health on scale of 0 to 100 (thermometer) less than or equal to 65.

SUPPLEMENTAL MATERIAL

Cognition⁷⁻¹⁰

12. Animal Naming Test: 1.5 standard deviations below age and education adjusted norms
13. FAS Test: 1.5 standard deviations below age and education adjusted norms
14. MMSE: in the bottom 10 percentile of age, sex, education-adjusted norms
15. Trails B: Test 1.5 standard deviations below age, sex, and education adjusted norms
16. CERAD Verbal Memory Delayed Recall: 1.5 standard deviations below age, sex, and education adjusted norms
17. Brief Visuospatial Memory-Revised Delayed Recall Test: 1.5 standard deviations below age-adjusted norms

Mood¹¹⁻¹³

18. Current anxiety: 1.5 standard deviations below sex and education-adjusted norms
19. Geriatric Depression Scale score > 4
20. Mood/hope score >1
21. Withdrawal/apathy/vigor score = 3

Functional Status¹⁴

22. Eats with some help = 0.5; completely unable = 1
23. Dresses with some help = 0.5; completely unable = 1
24. Cares for appearance with some help = 0.5; completely unable = 1
25. Walks with some help = 0.5; completely unable = 1
26. Transfers with some help = 0.5; completely unable = 1
27. Bathes with some help = 0.5; completely unable = 1
28. Uses toilet with some help = 0.5; completely unable = 1
29. Uses telephone with some help = 0.5; completely unable = 1
30. Travels with some help = 0.5; completely unable = 1

SUPPLEMENTAL MATERIAL

- 31. Shops with some help = 0.5; completely unable = 1
- 32. Prepares meals with some help = 0.5; completely unable = 1
- 33. Does housework with some help = 0.5; completely unable = 1
- 34. Takes medicine with some help = 0.5; completely unable = 1
- 35. Handles money with some help = 0.5; completely unable = 1

Diseases and medical conditions recorded at time of catheterization¹⁸

- 36. Pulmonary disease at baseline
- 37. Cerebrovascular disease at baseline
- 38. Renal disease at baseline
- 39. Congestive heart failure at baseline
- 40. Diabetes mellitus (type I or II), self-reported updates at follow up visits
- 42. Dialysis at baseline
- 43. Hypertension, self-reported updates at follow up visits
- 44. Hyperlipidemia at baseline
- 45. Severe/debilitating liver or gi disease at baseline
- 46. Malignancy at baseline
- 47. Peripheral vascular disease
- 48. Acute coronary syndrome, self-reported updates at follow up visits
- 49. Ejection fraction at baseline <50%

Self-Reported Stroke and TIA¹⁶

- 50. Stroke prior to visit, self-reported
- 51. TIA prior to visit, self-reported

SUPPLEMENTAL MATERIAL

Bloodwork

52. High homocysteine at baseline

53. B12 deficiency at baseline

Social Support

54. Lives alone, self-reported

SUPPLEMENTAL MATERIAL

eTable 1: Baseline Characteristics of Study Sample by Visit

| | Baseline | 6 Months | 12 Months | 30 Months |
|---|-----------------|-----------------|------------------|------------------|
| | N=374 | N=344 | N=340 | N=317 |
| Age at baseline, mean ± SD | 71.4 ± 5.9 | 71.3 ± 5.9 | 71.3 ± 5.9 | 71.3 ± 6.0 |
| Female sex, number (%) | 100 (26.7) | 91 (26.4) | 91 (26.8) | 83 (26.2) |
| Education years, , mean ± SD | 12.8 ± 3.8 | 12.8 ± 3.9 | 12.8 ± 3.9 | 12.8 ± 3.8 |
| Baseline treatment group, number (%) | | | | |
| CABG | 128 (34.2) | 120(34.9) | 119 (35.0) | 111 (35.0) |
| PCI | 150 (40.1) | 139 (40.4) | 137 (40.3) | 126 (39.8) |
| MT | 96 (25.7) | 85 (24.7) | 84 (24.7) | 80 (25.2) |
| Frailty Index deficit sum, mean ± SD* | 8.41 (4.22) | 7.93 (4.89) | 7.97 (4.96) | 8.52 (5.79) |
| Frailty Index Score, mean ± SD [†] | .160 (0.080) | .150 (.093) | .151 (.094) | .162 (.110) |
| Frailty Index Score, minima - maxima | .019 - .596 | 0 - .547 | .019 - .557 | 019 - .692 |
| Frailty Index Score above 0.3, number (%) | 21 (5.6) | 26 (7.6) | 30 (8.8) | 24 (7.6) |

Abbreviations: SD=standard deviation, CABG =coronary artery bypass graft, PCI = percutaneous coronary intervention, MT=medical therapy.

* Frailty Index deficit sum is the raw sum of deficits of 53 possible criteria.

† Frailty Index score is the deficit sum divided by the number of nonmissing criteria, 53 if the data is complete.

SUPPLEMENTAL MATERIAL

The table below describes the average change among those increasing or decreasing in frailty. Cross-sectional studies in older community dwelling populations describe average increase in frailty to be approximately .02 to .03 per year of age increase.¹⁹⁻²¹ More recent longitudinal studies have depicted the rate of increase to be exponential, with the number of deficits increasing by a factor of 1.035 over a person's lifetime.²²

eTable 2: Mean Change in Score for Those Increasing or Decreasing in FI Scores, Stratified by FI Score at Beginning of Time Interval

| FI Score category | Change Type | Baseline to Month 6 Mean change in score (Standard deviation) | Month 6 to Month 12 Mean change in score (Standard deviation) | Month 12 to Month 30 Mean change in score (Standard deviation) |
|-------------------|-------------|---|---|--|
| 0-.06 | Decrease* | -.028 (0) | NA | NA |
| | Increase | .047 (.011) | .048 (.018) | .051 (.021) |
| .06-.12 | Decrease | -.043 (.013) | -.039 (.013) | -.041 (.009) |
| | Increase | .055 (.053) | .042 (.016) | .057 (.031) |
| .12-.18 | Decrease | -.051 (.020) | -.041 (.015) | -.045 (.016) |
| | Increase | .064 (.044) | .052 (.027) | .063 (.033) |
| .18-.24 | Decrease | -.068 (.026) | -.053 (.021) | -.043 (.011) |
| | Increase | .073 (.091) | .057 (.038) | .059 (.036) |
| .24+ | Decrease | -.084 (.034) | -.064 (.036) | -.070 (.027) |
| | Increase | .100 (.067) | .063 (.041) | .108 (.065) |

* The standard deviation is 0 in the first time interval, and the means and standard deviations are not available in second and third time intervals due to insufficient sample in this category.

SUPPLEMENTAL MATERIAL

References:

1. Freiheit EA, Hogan DB, Eliasziw M, Patten SB, Demchuk AM, Faris P, Anderson T, Galbraith D, Parboosingh JS, Ghali WA, Knudtson M and Maxwell CJ. A dynamic view of depressive symptoms and neurocognitive change among patients with coronary artery disease. *Arch Gen Psychiatry*. 2012; 69:244-255.
2. Searle SD, Mitnitski A, Gahbauer EA, Gill TM and Rockwood K. A standard procedure for creating a frailty index. *BMC Geriatr*. 2008; 8:24.
3. Guralnik JM, Ferrucci L, Simonsick EM, Salive ME and Wallace RB. Lower-extremity function in persons over the age of 70 years as a predictor of subsequent disability. *N Engl J Med*. 1995; 332:556-561.
4. Guralnik JM, Seeman TE, Tinetti ME, Nevitt MC and Berkman LF. Validation and use of performance measures of functioning in a non-disabled older population: MacArthur studies of successful aging. *Aging (Milano)*. 1994; 6:410-419.
5. EuroQol Group. EuroQol: a New Facility for the Measurement of Health-Related Quality of Life. *Health Policy*. 1990; 16:199-208.
6. Shaw JW, Johnson JA and Coons SJ. US valuation of the EQ-5D health states: development and testing of the D1 valuation model. *Med Care*. 2005; 43:203-220.
7. Strauss E, Sherman EMS and Spreen O. Verbal Fluency, Trail Making Test (TMT). In: E. Strauss, E. M. S. Sherman and O. Spreen, eds. *A Compendium of Neuropsychological Tests: Administration, Norms, and Commentary*. 3rd ed. New York: Oxford University Press; 2006: 499-526, 655-677.
8. Folstein MF, Robins LN and Helzer JE. The Mini-Mental State Examination. *Arch Gen Psychiatry*. 1983; 40:812.

SUPPLEMENTAL MATERIAL

9. Welsh KA, Butters N, Mohs RC, Beekly D, Edland S, Fillenbaum G and Heyman A. The Consortium to Establish a Registry for Alzheimer's Disease (CERAD). Part V. A normative study of the neuropsychological battery. *Neurology*. 1994; 44:609-614.
10. Benedict RHB. *Brief Visuospatial Memory Test - Revised: Professional Manual*. Lutz, FL, USA: Psychological Assessment Resources, Inc.; 1997.
11. Spielberger C, Gorsuch R and Lushene R. *State-Trait Anxiety Inventory (STAI) Manual*. Palo Alto, CA: Consulting Psychologists Press; 1970.
12. Almeida OP and Almeida SA. Short versions of the geriatric depression scale: a study of their validity for the diagnosis of a major depressive episode according to ICD-10 and DSM-IV. *Int J Geriatr Psychiatry*. 1999; 14:858-865.
13. McAvay GJ, Van Ness PH, Bogardus ST, Jr., Zhang Y, Leslie DL, Leo-Summers LS and Inouye SK. Depressive symptoms and the risk of incident delirium in older hospitalized adults. *J Am Geriatr Soc*. 2007; 55:684-691.
14. Fillenbaum GG and Smyer MA. The development, validity, and reliability of the OARS multidimensional functional assessment questionnaire. *J Gerontol*. 1981; 36:428-434.
15. Hendrie HC, Hall KS, Brittain HM, Austrom MG, Farlow M, Parker J and Kane M. The CAMDEX: a standardized instrument for the diagnosis of mental disorder in the elderly: a replication with a US sample. *J Am Geriatr Soc*. 1988; 36:402-408.
16. Jones WJ, Williams LS and Meschia JF. Validating the Questionnaire for Verifying Stroke-Free Status (QVSFS) by neurological history and examination. *Stroke*. 2001; 32:2232-2236.
17. Blaum CS, Xue QL, Michelon E, Semba RD and Fried LP. The association between obesity and the frailty syndrome in older women: the Women's Health and Aging Studies. *J Am Geriatr Soc*. 2005; 53:927-934.

SUPPLEMENTAL MATERIAL

18. Ghali WA and Knudtson ML. Overview of the Alberta Provincial Project for Outcome Assessment in Coronary Heart Disease. On behalf of the APPROACH investigators. *Can J Cardiol.* 2000; 16:1225-1230.
19. Mitnitski A, Song X, Skoog I, Broe GA, Cox JL, Grunfeld E and Rockwood K. Relative fitness and frailty of elderly men and women in developed countries and their relationship with mortality. *J Am Geriatr Soc.* 2005; 53:2184-2189.
20. Mitnitski AB, Mogilner AJ and Rockwood K. Accumulation of Deficits as a Proxy Measure of Aging. *The Scientific World.* 2001; 1:323-336.
21. Rockwood K and Mitnitski A. Frailty in relation to the accumulation of deficits. *J Gerontol A Biol Sci Med Sci.* 2007; 62:722-727.
22. Mitnitski A and Rockwood K. The rate of aging: the rate of deficit accumulation does not change over the adult life span. *Biogerontology.* 2015; doi: 10.1007/s10522-015-9583-y.