Cardiovascular disease (CVD) is the leading cause of death in the United States and the world. Blacks suffer from disproportionately high rates of CVD morbidity and mortality.1,3 Substantial evidence indicates that psychosocial stress contributes to the onset and progression of CVD.4–8 The attributable risk associated with psychosocial stress factors across diverse populations is similar to traditional CVD risk factors.8 Psychological distress factors, including depression, anger, hostility, and anxiety, predict CVD clinical events.9–11 The disparity in CVD in blacks may be related to disproportionate levels of psychosocial and environmental stress.12–16 Randomized, controlled trials of stress reduction using the Transcendental Meditation (TM) program have reported decreases in CVD risk factors, surrogate end points, and mortality in blacks and other populations.17–24 The overall objective of this trial was to evaluate the effects of practice of the TM program in the secondary prevention of CVD in blacks.

Methods

Study Design

The trial was conducted between March 1998 and July 2007 in 2 phases. The first phase was from March 1998 to April 2003. After a hiatus in
funding, the second phase was conducted from March 2004 to July 2007. All phase 1 subjects were invited to participate in phase 2. Subjects provided written informed consent separately for each of the 2 phases.

The clinical site was the Department of Medicine, Medical College of Wisconsin, Milwaukee, and the administrative and data coordinating center was the Institute for Natural Medicine and Prevention, Maharishi University of Management, Fairfield, Iowa. The institutional review boards of both institutions approved the protocol. The trial was monitored by an independent data and safety monitoring board.

Participants
Eligible patients were black men and women with angiographic evidence of at least 1 coronary artery with >50% stenosis. Exclusion criteria were acute myocardial infarction (MI), stroke, or coronary revascularization within the previous 3 months, chronic heart failure with ejection fraction <20%, cognitive impairment, and noncardiac life-threatening illness. Subjects continued usual medical care throughout the study.

Subjects were identified from the African American Heart Health Registry of the Medical College of Wisconsin and other databases of Milwaukee area hospitals. Each patient’s physician gave permission for study participation.

Procedures
Subjects were randomly assigned to either the TM or health education (HE) arms using a stratified block design. The strata were sex and African American status. The allocation schedule and conveyed the assignments to the study coordinator was the Institute for Natural Medicine and Prevention, Maharishi University of Management, Fairfield, Iowa. Investigators, data collectors, and data management staff were blinded to group assignment. Intervention groups met separately to minimize contamination. Because double blinding in behavioral trials is generally not feasible, this was a single-blinded trial. Subjects were assessed at baseline, month 3 and every 6 months after baseline for clinical events, blood pressure (BP), body mass index (BMI), and adherence. Lifestyle behaviors (diet, exercise, and substance use) and psychosocial distress factors were assessed annually.

Outcomes
The primary end point was the time-to-first event of the composite of all-cause mortality, nonfatal MI, or nonfatal stroke. The secondary clinical end point was time-to-first event for the composite of cardiovascular mortality, nonfatal MI, nonfatal stroke, coronary revascularization, or hospitalization for ischemic heart disease-non-MI or heart failure. Additional secondary, intermediate end points included BP, smoking, alcohol, BMI, diet, exercise, and psychological distress. Mortality and cause of death were determined from death certificates and the National Death Index. At semiannual study visits, participants reported hospitalizations. Nonfatal events were confirmed from hospital discharge summaries. All clinical end points were adjudicated by a blinded, independent reviewer who applied standardized and validated criteria.

Three successive BP measurements were taken with a mercury sphygmomanometer in the seated position. BMI was calculated as weight/height². Dietary patterns were assessed with the Block Dietary Food Consumption Questionnaire. Smoking and alcohol use were determined from weekly recall questionnaires. A modified Minnesota Leisure Time Physical Activity Questionnaire was used for exercise. Psychological distress factors were assessed with the CESD Scale for depression, the Cook-Medley Hostility Inventory composite score for hostility, and the Anger Expression scale for anger-in, anger-out, anger-control, and total anger.

Expectation of treatment benefits was assessed by questionnaire at baseline in a subset of 74 subjects. The questionnaire, titrated on the basis of history of practice for both groups, was determined by questionnaire at each posttesting session. Practice of at least once a day was considered regular. Attendance at group instructional and follow-up meetings was recorded.

Interventions
The TM program was used as a mind–body intervention for its effects on physiological correlates of stress and related CVD outcomes because of its standardization, reproducibility, and validity. It is the principal mind–body technique of Maharishi Ayurveda, a comprehensive traditional system of natural medicine. The TM technique is described as a simple, natural, effortless procedure that is practiced 20 minutes twice a day while sitting comfortably with eyes closed. During the practice, it is reported that ordinary thinking processes settle and a distinctive wakeful hypometabolic state characterized by neural coherence and physiological rest is gained. Standard teaching materials and format were used. The TM technique was taught in a 7-step course of instruction comprising six 1.5- to 2-hour individual and group meetings taught by an instructor certified by Maharishi Foundation USA. Thereafter, follow-up and maintenance meetings were held weekly for the first month, biweekly for the following 2 months, and monthly thereafter for the remainder of phases 1 and 2.

The control intervention was a cardiovascular health education program designed to match the format of the experimental intervention for instructional time, instructor attention, participant expectancy, social support, and other nonspecific factors. The content was based on standard, published materials. The instructors were professional health educators. The HE subjects were advised to spend at least 20 minutes a day at home practicing heart-healthy behaviors, eg, exercise, healthy meal preparation, and nonspecific relaxation. Care was taken to separate both intervention groups to minimize contact and communication.

Statistical Analysis
Baseline comparisons of group data for continuous variables were assessed with t tests for independent variables. Dichotomous variables were compared using the Fisher exact test.
Survival curves were estimated by the Kaplan-Meier product limit method using time-to-first event. Hazard ratios (HRs) and 95% confidence intervals (CIs) were estimated using the Cox proportional hazard model. Event data from phases 1 and 2 for all subjects were included in the survival analyses with time to event censored at the end of the subjects’ follow-up. That is, subjects who enrolled in phase 1 were followed through completion of phase 1. Subjects who reconsented and reenrolled in phase 2 were followed through completion of phase 2, including the interim/hiatus period. Mortality data were collected from public records for all subjects regardless of reenrollment status and confirmed with death certificates. Multivariate models covaried for the stratification factors of age, sex, and lipid-lowering medication status because this is recommended to improve the precision and power of the analyses.52,53 The proportional hazards assumption was tested by assessing the joint significance of the reenrollment variable, the treatment variable, and their interaction. A second, independent analysis of the survival data was conducted by Dr Bruce Barton, Department of Quantitative Health Sciences, University of Massachusetts Medical School.

Changes in intermediate outcomes were analyzed using a repeated measures mixed model. Subject differences were modeled as random effects. Other independent variables were fixed effects. Time was modeled as a continuous linear trend. Baseline level of the outcome, age, sex, and lipid-lowering medication were covariates. The model was fit over all available data points by restricted maximum likelihood estimation.

All primary and secondary outcomes were analyzed using the intention-to-treat principle. Power calculations were based on the approach of Proschan and Hunsberger for conditional power. The power calculation for phase 1 estimated that with 374 subjects, there was 80% power to detect a 36% risk reduction in the composite of cardiovascular mortality, nonfatal MI, nonfatal stroke, coronary artery bypass graft surgery, percutaneous coronary intervention, and hospitalizations for heart failure and ischemic heart disease (non-MI). At the completion of phase 1, 201 subjects had been recruited (Figure 1). With review and approval of the data and safety monitoring board, a single interim analysis determined that with 201 subjects and an additional 5 years of follow-up to accrue the required number of events, the trial had 80% power to detect a 50% risk reduction in the data and safety monitoring board-approved end point of all-cause mortality, nonfatal MI, and nonfatal stroke.

**Results**

There were 201 participants who met eligibility criteria, provided informed consent, and were randomized to either TM
(n=99) or HE (n=102) in phase 1 (Figure 1). The rate of non-participation in the treatment groups was 19 of 99 or 19% in the TM group and 10 of 102 or 10% in the HE group, a nonsignificant difference (P=0.07, Fishers exact test). At the beginning of phase 2, 143 subjects were reenrolled in the second phase. Fifty-eight subjects from phase 1 did not participate in phase 2 because of death, attrition, or lack of informed consent. Of these, 25 or nearly half, had primary outcome events during phase 1.

As shown in Table 1, the groups were generally similar at baseline; 42% were women; mean age was 59 years; half of the participants reported incomes of <$10 000/year. Significant baseline differences were education level and CESD score. No significant interactions were found on any of the baseline variables between treatment group and phase 2 reenrollment.

Randomized subjects were observed for a maximum of 9.3 years and a mean of 5.4±2.4 years (TM group = 5.3±2.3 years, HE group = 5.4±2.5 years). There were 52 primary end point events. Of these, 20 events occurred in the TM group and 32 in the HE group (Table 2). Figure 2 shows the survival curves for the primary end point of mortality, MI, and stroke. Table 3 presents the results of the survival analyses. In the primary analysis, the adjusted HR for the TM group compared with the HE group was 0.52 (95% CI, 0.29–0.92; P=0.025). The stratification factors of age, sex, and lipid-lowering medications used as covariates were jointly significant as predictors of time to event (P=0.0003, for the individual covariates: P=0.0003, P=0.057, and P=0.03, respectively). The test for violation of the proportional hazards assumption was not significant.

In secondary and sensitivity analyses, adjustment for baseline education and CESD score in addition to the stratification...
primary end point events in each category may differ. CHD, coronary heart disease; and CHF, congestive heart failure. Nonfatal events were not available for these subjects because fatal events were available from public records.28,29 Including events in non-reenrolled subjects during hiatus and phase 2 adjusted for stratification variables

<table>
<thead>
<tr>
<th>End Point*</th>
<th>Number of Events</th>
</tr>
</thead>
<tbody>
<tr>
<td>All-cause mortality</td>
<td>TM 17, HE 24, Both 41</td>
</tr>
<tr>
<td>Nonfatal MI</td>
<td>TM 1, HE 4, Both 5</td>
</tr>
<tr>
<td>Nonfatal stroke</td>
<td>TM 2, HE 4, Both 6</td>
</tr>
<tr>
<td>Total</td>
<td>TM 20, HE 32, Both 52</td>
</tr>
</tbody>
</table>

Secondary end point* |
| CVD mortality | TM 4, HE 5, Both 9 |
| Nonfatal MI | TM 0, HE 2, Both 2 |
| Nonfatal stroke | TM 2, HE 4, Both 6 |
| Revascularization (CABG, PCI) | TM 17, HE 15, Both 32 |
| Hospitalization for CHD | TM 12, HE 18, Both 30 |
| Hospitalization for CHF | TM 9, HE 10, Both 19 |
| Total | TM 44, HE 54, Both 98 |

TM indicates Transcendental Meditation program; HE, health education control group; MI, myocardial infarction; CVD, cardiovascular disease; CABG, coronary artery bypass graft surgery; PCI, percutaneous coronary intervention; CHD, coronary heart disease; and CHF, congestive heart failure. *The counts of events refer to first events, and therefore the counts of primary and secondary events in each category may differ.

Table 2. Components of Primary and Secondary Clinical Event End Points

![Cumulative Proportion Event-free](http://circoutcomes.ahajournals.org/)

**Figure 2.** Kaplan-Meier survival curves of primary end point (all-cause mortality, nonfatal MI, or nonfatal stroke). HE indicates the health education intervention; TM, the Transcendental Meditation program.

Factors showed a similar result (HR, 0.54; 95% CI, 0.30–0.98; \( P = 0.04 \)). Eleven additional deaths occurred during the hiatus period and phase 2 in subjects whose enrollment terminated at the end of phase 1 (7 in TM group and 4 in HE group). Nonfatal events were not available for these subjects because their consent and enrollment had terminated although their fatal events were available from public records.28,29 Including these fatal events in non-reenrolled subjects, there were 27 primary end point events in the TM group and 36 in the HE group. The adjusted HR for the TM group compared with the HE group was 0.57 (95% CI, 0.34–0.96; \( P = 0.03 \)).

There were 98 secondary end point events. Of these, 44 occurred in the TM group and 54 in the HE group (Table 2). As shown in Table 3, the adjusted HR for the TM group compared with the HE group was 0.76 (95% CI, 0.51–1.13; \( P = 0.17 \)). With additional adjustment for education and CESD score, the HR was 0.82 (95% CI, 0.54–1.24; \( P = 0.36 \)).

Independent analysis of the primary and secondary survival data confirmed identical results (Dr Bruce Barton, University of Massachusetts Medical School).

Table 4 shows changes in the intermediate outcomes averaged during the trial. There was a significant net difference of 4.9 mm Hg in systolic BP in the TM group compared with the HE group (95% CI, −8.3 to −1.5 mm Hg; \( P = 0.01 \)). For diastolic BP, there was a net difference of −1.6 mm Hg (95% CI, −3.4 to 0.3 mm Hg; \( P = 0.27 \)). There were no significant between-group changes in BMI, physical activity, alcohol use, smoking, or diet. There were significant improvements in anger-in, anger-control, and total anger (\( P = 0.02 \), \( P = 0.02 \), and \( P = 0.03 \), respectively), for the TM vs HE group. There were no significant changes in anger-out, depression, or hostility for the TM versus HE groups. There were significant group by time interactions for anger-in (\( P = 0.002 \)) and total anger (\( P = 0.01 \)), although not for anger-control or other intermediate outcomes.

Experimental subjects practiced the TM technique an average of 8.5 times per week. Control subjects practiced healthy lifestyle activities an average of 8.6 times per week. Attendance at follow-up meetings averaged during 5.4 years was 48% for each group. There were no significant differences in home practice or meeting attendance for either group in phase 1 compared with phase 2.

In the high-adherence subgroup of subjects who were regular in home practice (n=141), the HR was 0.34 (95% CI, 0.16–0.69; \( P = 0.003 \)). The interaction between treatment and adherence (versus low vs high) showed a statistical trend (\( P = 0.08 \)). Cox regression analysis within the TM group indicated that frequency of home practice was inversely associated with primary clinical events (\( P = 0.04 \)). On average, subjects attended 70% of all semiannual testing visits.

Table 3. Results of Survival Analyses

<table>
<thead>
<tr>
<th>End Point*</th>
<th>Unadjusted</th>
<th>Adjusted for stratification variables (age, sex, lipid-lowering medications)—primary analysis</th>
<th>Adjusted for stratification variables + education, baseline CESD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Primary end point</td>
<td>0.64 (0.37–1.12)</td>
<td>0.52 (0.29–0.92)</td>
<td>0.54 (0.30–0.98)</td>
</tr>
<tr>
<td>Secondary end point</td>
<td>0.77 (0.52–1.15)</td>
<td>0.76 (0.51–1.13)</td>
<td>0.82 (0.54–1.24)</td>
</tr>
</tbody>
</table>

CESD indicates Center for Epidemiological Studies Depression Scale.35,36
Table 4. Changes in Intermediate Outcomes During 5.4-Year Average Follow-Up

<table>
<thead>
<tr>
<th>Outcome (TM/HE No.)</th>
<th>TM Group Change, Mean (SE)</th>
<th>HE Group Change, Mean (SE)</th>
<th>Net Difference (TM−HE)</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Systolic BP, mm Hg (86/97)</td>
<td>0.022 (1.264)</td>
<td>4.883 (1.184)</td>
<td>−4.861</td>
<td>0.01</td>
</tr>
<tr>
<td>Diastolic BP, mm Hg (86/97)</td>
<td>−3.433 (0.683)</td>
<td>−1.877 (0.643)</td>
<td>−1.566</td>
<td>0.27</td>
</tr>
<tr>
<td>HR, bpm (86/97)</td>
<td>0.518 (0.541)</td>
<td>−0.145 (0.509)</td>
<td>0.663</td>
<td>0.01</td>
</tr>
<tr>
<td>BMI, kg/m² (86/97)</td>
<td>−0.070 (0.274)</td>
<td>−0.144 (0.258)</td>
<td>0.074</td>
<td>0.94</td>
</tr>
<tr>
<td>Exercise, h/d (81/88)</td>
<td>0.454 (0.327)</td>
<td>0.440 (0.316)</td>
<td>0.014</td>
<td>0.13</td>
</tr>
<tr>
<td>Alcohol, drinks/wk (80/90)</td>
<td>−2.494 (0.424)</td>
<td>−3.109 (0.400)</td>
<td>0.615</td>
<td>0.46</td>
</tr>
<tr>
<td>Cigarettes, No./d (84/93)</td>
<td>−0.637 (0.324)</td>
<td>−0.027 (0.309)</td>
<td>−0.610</td>
<td>0.16</td>
</tr>
<tr>
<td>Anger-in (85/94)</td>
<td>−1.826 (0.399)</td>
<td>−1.618 (0.378)</td>
<td>−0.209</td>
<td>0.02</td>
</tr>
<tr>
<td>Anger-out (85/94)</td>
<td>0.266 (0.338)</td>
<td>−0.156 (0.321)</td>
<td>0.422</td>
<td>0.87</td>
</tr>
<tr>
<td>Anger-control (85/94)</td>
<td>−0.267 (0.290)</td>
<td>−1.344 (0.277)</td>
<td>1.077</td>
<td>0.02</td>
</tr>
<tr>
<td>Total anger (85/94)</td>
<td>−1.171 (0.750)</td>
<td>−0.531 (0.712)</td>
<td>−0.640</td>
<td>0.03</td>
</tr>
<tr>
<td>Depression (85/93)</td>
<td>−0.252 (0.713)</td>
<td>0.686 (0.680)</td>
<td>−0.938</td>
<td>0.20</td>
</tr>
<tr>
<td>Hostility (84/92)</td>
<td>−0.703 (0.346)</td>
<td>−0.621 (0.330)</td>
<td>−0.082</td>
<td>0.53</td>
</tr>
</tbody>
</table>

TM indicates Transcendental Meditation program; HE, health education control group; BP, blood pressure; HR, heart rate; and BMI, body mass index (calculated as weight in kilograms divided by height in meters squared).

Discussion

This randomized, controlled trial on the secondary prevention of CVD in a high-risk population extends previous trials reporting that mind–body intervention with the TM program reduced CVD risk factors, surrogate end points, and mortality.17–24,39,55,56 In this trial, the TM program was associated with 48% risk reduction in the composite of mortality, nonfatal MI, and nonfatal stroke in black men and women with coronary heart disease during an average of 5.4 years follow-up. These results were confirmed by independent data analysis. Concurrently, there were improvements in BP and psychosocial distress factors, particularly anger. Regularity of TM practice was associated with increased survival.

The effects of active intervention were stable and reliable during the trial. The 2 treatment groups did not differ in characteristics between phases 1 and 2, indicating that there was no evidence of selective attrition. Adherence to the interventions was similar in both phases. Although there were between-group baseline differences on education and depression, adjusting for these differences did not substantially affect the primary outcome.

The average BP reduction of 5 mm Hg is similar to that found in meta-analyses of shorter term trials of the TM program.17,18 Reduction in systolic BP may be 1 physiological mechanism for reduced clinical events in this trial because this magnitude of reduction has been associated with 15% reduction in cardiovascular clinical events.57 The improvements in anger expression and control may also have contributed to enhanced survival, because anger has been associated with CVD clinical events in coronary heart disease patients.10 There was a nonsignificant reduction in smoking in the TM group. It is possible that other mechanisms not evaluated in this study contributed to the reduced risk in the TM group. Previous studies have reported reductions in sympathetic nervous system tone, hypothalamic-pituitary-adrenal axis activation, insulin resistance, left ventricular mass, myocardial ischemia, carotid atherosclerosis, and heart failure.19–21,58–60

Central nervous system integration has been proposed as a neurophysiologic basis for these physiologic effects.61,62 There was some evidence of a dose–response relationship between practice of the TM program and survival. There was a significant association between regularity of home practice and survival. Further, the subgroup of subjects who were regular in their TM practice had a 66% risk reduction compared with the overall sample risk reduction of 48%.

To our knowledge, this is the first randomized, controlled trial to demonstrate a reduction in the risk for mortality, MI, and stroke with the individual practice of a relatively simple mind-body intervention, particularly in a high-risk racial/ethnic population.63 Previous randomized trials of stress reduction methods in patients with coronary heart disease typically used group-based psychosocial counseling methods with complex multimodal interventions, lacked attention controls, and resulted in heterogeneous outcomes.63–68 This is also the first prospectively designed and conducted randomized, controlled trial to evaluate effects on CVD clinical events of a nonpharmacologic, lifestyle modification approach for hypertension.69

There were limitations to this study. The sample size did not allow for sufficiently powered analyses of single clinical end points. The 24% risk reduction in the secondary composite end point of CVD mortality, MI, stroke, coronary revascularization, and CVD hospitalization did not reach statistical significance (P=0.17). This may have been related to variability in the use of revascularization procedures and hospitalizations in the community. The reduction in depression in the meditation group was not significant, perhaps, because depression was already low in this group at baseline, which may have contributed to lack of further reduction in one or both groups. Hostility scores were relatively low in both groups at baseline. There were no significant differences between groups in change in BMI, exercise, or alcohol consumption, although in both study groups there were apparent (within group) improvements in exercise and alcohol consumption. As noted earlier, there was a nonsignificant reduction in cigarette smoking in the stress reduction group. The sample was limited to...
a single racial/ethnic sample. However, previous studies have reported improvements in CVD outcomes with the TM program in general population samples, suggesting that this finding is generalizable.17–20,39

Another limitation was the variable length of time of subject participation and the heterogeneity of outcome data for subjects who did not reenroll in phase 2. However, when all available data, that is, mortality from non-reenrolling subjects was included in a sensitivity analysis of the primary end point, the results were similar to the main analysis in treatment effect and significance level. The unadjusted results of the primary end point analysis showed a nonsignificant statistical trend (P=0.12). However, the HR adjusted for covariates of age, sex, and antihypertensive medications was significant (P=0.025). According to Pocock et al52 and Consolidated Standards of Reporting Trials (CONSORT) recommendations, adjusted analyses frequently improve the precision of the estimate of the treatment effect.59 Furthermore, because these factors were used in the stratified randomization procedure, it is recommended to adjust for stratification factors to achieve the most efficient treatment comparison.52,53 This is particularly relevant when the adjustment factors predict the outcome, as they did in this trial.52

The proportion of cardiovascular deaths in the present trial was lower than national averages.1 This may have been because of the sample size or method of collecting causes of death from death certificates. The accuracy of death certificate data for cause of death has been seriously questioned.61,62 There was a nonsignificantly higher nonparticipation rate in the TM group compared with HE controls. Because the analysis was based on intention to treat, the higher nonparticipation rate in the TM group may have led to a more conservative estimate of the treatment effect.52

This trial did not address the effects of other mind–body, meditation-type interventions on clinical events. Although several meta-analyses and comparative studies suggest a distinctive effect of the TM program,18,48,73 it remains for future comparative effectiveness trials to address differential effects of mind–body interventions on CVD clinical events.

Conclusions
This randomized, controlled trial found that a selected mind–body, stress reduction intervention, the TM program, significantly reduced risk for mortality, MI, and stroke in black men and women with coronary heart disease. These changes in clinical events were associated with lower BP and psychosocial distress. Thus, the TM program may be a clinically useful behavioral intervention in the secondary prevention of CVD in this and perhaps other high-risk populations.

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Dr Schneider has served as an investigator on research grants from the National Institutes of Health and US Department of Defense and is a consultant to Maharishi Foundation USA, a nonprofit educational organization. Dr Grim’s spouse is president and sole owner of Shared Care Research and Education Consulting. Dr Rainforth has served as an investigator on research grants from the National Institutes of Health and US Department of Defense and his spouse is an independent contractor to Maharishi Foundation, USA. Dr Nidich has served as an investigator on research grants from the National Institutes of Health, US Department of Defense and David Lynch Foundation and his spouse is an independent contractor to Maharishi Foundation, USA. Dr Gaylord-King has served as an investigator on research grants from the National Institutes of Health, US Department of Defense and GMDO, a nonprofit organization. Dr Salerno has served as an investigator on research grants from the National Institutes of Health and US Department of Defense. The other authors report no conflicts.

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Stress Reduction in the Secondary Prevention of Cardiovascular Disease: Randomized, Controlled Trial of Transcendental Meditation and Health Education in Blacks

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